

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** System Office and Board Operations**Reporting level:** 00-215-100-00-00-00-00000000**Program Performance Measures**

NDUS has published annual accountability measures reports, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, which served as the basis for the Board's previous strategic plan, the report has evolved from a written annual report to an online report. A wrap-up report is being compiled in anticipation of the launch of the Board's 2015-2020 strategic plan. Future reporting on the new strategic plan and accountability is expected to not only be online but also in a contemporary, interactive format. The information may include information on graduation and retention rates, enrollment, peer comparisons, financial aid and tuition, degrees awarded and composite financial index information for the 11 campuses, although final measures have not yet been determined.

**Program Statistical Data**

The NDUS Office provides the following services:

1. Advise the Board and campuses on system policy development and administration, including institutional missions, program offerings, student affairs, personnel and fiscal operations, budget development, computing, capital construction, financial aid and all other administrative and academic functions.
2. Act as representatives of the North Dakota University System to governmental bodies and the general public.
3. Administer student grant programs.
4. Administer system grant programs.
5. Monitor legal issues applicable to higher education.

See the Agency Overview for major accomplishments of the Board and System Office.

**CTEC** formulates and recommends appropriate policies and procedures relating to career and technical education and training in postsecondary institutions toward the goal of continual improvement in postsecondary career and technical education opportunities in North Dakota. The Council develops programs, communication strategies, and financial considerations relating to career and technical education. Recent accomplishments that have occurred since the previous biennial budget request include the following:

- TrainND Accomplishments (July 2013 to June 2015)
  - Demonstrated responsiveness and accountability in workforce training through serving a total of 1,489 businesses and 16,769 employees for FY 2013 and maintained high levels of satisfaction (99%) by business clients as well as participants; And in FY 2014 serving a total of 1,234 businesses and 18,479 employees and maintaining high levels of satisfaction (98-99%) by business clients as well as participants.
  - Conducted a TrainND Summit on February 26, 2014 which resulted in recommendations to continue the operational model and create a new NDUS position to serve as the TrainND Statewide Coordinator
  - TrainND through Career and Technical Education budget is requesting an additional \$2,000,000 for a total biennium budget of \$5,000,000 to address TrainND's need to keep up with inflation and meet the growing number of businesses and industries within the state. The TrainND infrastructure has not been able to keep up with the demand in North Dakota. As current clients request deeper relationships, new businesses in need of TrainND services move into the state. Due to the overall lack of awareness of TrainND outside of the businesses presently being served, those new businesses don't know about TrainND. Additional TrainND skilled personnel are needed to work with individual businesses in designing training programs that match their

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training needs; extend training services to more communities and newer businesses throughout the state; leverage social media tools and technologies; and obtain training equipment and software necessary for providing up-to-date and effective training.

- Successful implementation of Lumen's Software by all four TrainND regions
- To meet business and industry training needs, expanded offerings to include Project Management, EMS, Computer Information Systems Certification Programs, Creativity Tools, Innovation Strengths Preference Indicator, Crane Program, DOT Medical Examiners Training, IV Therapy, CMA I and CMA II, CPR and First Aid, CDL in collaboration with Cankdeska Cikana Community College, and Business Writing.

Accomplishments of the Office of Articulation and Transfer include the following:

- **Common Course Numbering** -Maintain a web-based Common Course Numbering System (CCNS) consisting of over 1,300 courses among 52 academic discipline groups.
- **General Education** - Formally established the North Dakota General Education Council that adopted AAC&U's Liberal Education and America's Promise (LEAP) Essential Learning Outcomes that has enabled the state to participate in several national and regional initiatives to include: WICHE Interstate Passport Initiative, Degree Qualifications Profile, and Quality Collaboratives. Annually revised and publish the Guide to Transfer within the North Dakota University System and Other Associated North Dakota Institutions of Higher Education annually. The Guide includes all ND tribal colleges and facilitates seamless transfer of general education coursework among 17 participating institutions.
- **Articulation and Transfer** - Continued support of transfer students to include a total of seven state-to-state agreements for the transfer of general education coursework with states that supply highest numbers of transfer students to ND. Additionally worked with the U.S. Commerce Department to develop a StudyND website ([www.studynd.com](http://www.studynd.com) and [www.studynd.org](http://www.studynd.org)) to support recruitment of international students to ND.

The NDHECSAP is actively involved in research to examine student alcohol and other drug use behaviors and perceptions. Data collection on college students' alcohol and other drug use was sporadic until 1994, when NDUS and private campuses (Jamestown College and University of Mary) participated in the administration of the Core Alcohol & Other Drug Survey. With the inception of the current NDHECSAP, data collection is now consistently done, which has resulted in trend data from 1994 through 2012. The 2012 ND Core Alcohol & Other Drug Survey results indicate:

- Alcohol is the still the first 'drug of choice' for ND College Students.
- The number of students that reported drinking 5 or more drinks in one sitting (binge drinking) in the last two weeks has decreased to 51.4% in 2012, from 53% in 2006.
- Peak Blood Alcohol Concentration (BAC) has reduced to .12 in 2012 from .154 from 2008 for males, and to .11 in 2012 from .168 in 2008 for females.
- The average number of drinks consumed per week by students under the age of 21 has dropped to 4.57 in 2012 from 5.25 in 2008.
- Consequences encountered by students because of their drinking/other drug use are also down. For example: 26.6% of students reported missing a class because of alcohol/other drug use in 2012, in 2008 the number was 33%.
- Students who reported using marijuana in the past year increased to 25.5% in 2012 from 22.7% in 2008, the national reported use is 31.3%

Over the course of this past biennium, NDHECSAP had several major accomplishments:

- Successful transition in the role of Director of NDHECSAP;
- Served, and continue to serve, as a leadership team member in securing the \$9.5 million, five year Strategic Prevention Framework State Incentive Grant for the state of North Dakota to address underage drinking and binge drinking (ages 12+);
- Update and refocus of NDHECSAP strategic plan;
- National trainer trained NDHECSAP members on the Brief Alcohol Screening and Intervention for College Students (BASICS) best practice program;
- Initiation of the development of a state-wide Blood Alcohol Concentration smartphone application to increase students and North Dakota resident's awareness of BAC levels as alcohol is consumed and to provide a quick and easy way to access a local taxi or pre-determined sober driver at the click of a button;

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** System Office and Board Operations**Reporting level:** 00-215-100-00-00-00-00000000

- Secured funding through the Department of Health and the Campus Tobacco Prevention Project for the Electronic Check-Up To-Go (E-Check-Up), a Graduate Student to provide campus-specific analysis of the 2014 administration of the CORE Alcohol & Other Drug Survey, and collected E-Check-Up data, and tobacco cessation materials;
- Partnered with the Department of Health and San Diego State University in the development of a tobacco specific E-Check-Up;
- Biennial review of each respective institutions' policies, procedures, staff, funding, and overall alcohol and other drug programs to ensure compliance with EDGAR 86 and fidelity of campus specific efforts;

**Explanation of Program Costs**

The "Systems Governance" line item of the NDUS Office budget supports the state funded salaries, operating and equipment expenses of the NDUS Office (including internal audit and legal staff located at UND and NDSU), College Technical Education Council (CTEC), North Dakota Higher Education Consortium for Substance Abuse Prevention (NDHECSAP) and Articulation and Transfer operations. The salary budget also includes per diem reimbursement for Board of Higher Education members.

The 2015-17 general fund budget request totals \$14,411,610 which includes a increase of \$2,033,804 over the 2013-15 adjusted general fund appropriation of \$12,377,806. The requested general fund increase will fund the following:

- **\$74,151** – NDUS Office continuation of 14-15 legislatively funded salary increase @ 3% **[See budget change code AA1]**
- **\$76,146** – NDUS Office operating inflation. **[See budget change code AA1]**
- **\$1,822,732** - Internal audit increase **[See budget change code AC13 for details]**
- **\$60,775** - One-time request for system-wide software for implementation of emergency management plans **[See budget change code AD1]**

Items that account for the majority of the operating budget are travel, dues and professional development, professional services and specific ongoing projects, including accountability surveys, reports and Project Vital Link. The travel budget includes funds to cover travel expenses for the Board and staff to perform statutory and constitutional duties and professional development, including motor pool costs and funds to reimburse Board members for personal vehicle usage. In addition to the funding from the general fund, the NDUS Office budget is supported by funds from campus assessments for the salary, fringe benefits and operating expenses of 2 internal auditors, 1 compliance officer and 1.4 legal staff. Consistent with past practice, these funds have not been included in the 2015-17 request, nor specifically appropriated, but instead are appropriated with broad legislative authorization regarding federal, private and other sources (see SB2003, Section 34). As noted in the narrative for budget change code AC13, a portion of the requested increase for internal audit would move the funding of the 2 internal auditors from campus assessments to state general fund.

Through the current biennium, the NDUS Office budget has included \$288,696 federal funds for the State Approving Agency salaries and operating expenses. This federally funded program, and 1 fte, are being transferred to the Department of Veteran Affairs, effective July 1, 2015, and the budget change is reflected in budget change code **AA4**.

**Program Goals and Objectives**

The State Board of Higher Education is responsible for the overall management and control of the institutions and entities of the North Dakota University System. This program provides funding for the expenses/operations of the Board and System Office functions needed to carry out the Board's constitutional and statutory responsibilities. The budgets for the College Technical Education Council (CTEC), North Dakota Higher Education Consortium for Substance Abuse Prevention (NDHECSAP) and Articulation and Transfer operations, as well as federally funded State Approving Agency operations, are also included in the System Governance reporting level.

**College Technical Education Council\_-** The objectives of the College Technical Education Council (CTEC) are to improve the coordination, collaboration and effectiveness of career and technical education and training among the boards and agencies which provide funding and have major responsibilities for delivering career

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and technical education and workforce training in North Dakota. At present, there is no formal executive director of CTEC. The college presidents of the five NDUS community colleges continue to meet several times through the biennium. The regional TrainND directors of the four ND quadrants continue to meet on a regular basis.

**Articulation & Transfer** - The percentage of NDUS students who are transferring between and among NDUS institutions has significantly increased during the last decade, and the research suggests that those numbers will only continue to increase. With more students transferring (and transferring more frequently) the program goals for Articulation and Transfer include the following:

1. Provide leadership for expanded transfer opportunities for students of the university system, as well as those transferring from outside the system.
2. Strengthen communication between North Dakota's education entities (including the K-12 system and tribal and private colleges) regarding transfer issues, state policy and procedure changes, student transfer research data, and the production of statewide and bilateral articulation agreements.
3. Strengthen transfer and articulation relationships with other states, by investigating and developing new markets for transfer partnerships.
4. Investigate the changing transfer student patterns in North Dakota and develop research and policies to provide service for and understanding of the transfer student process.

The North Dakota Higher Education Consortium for Substance Abuse Prevention (NDHECSAP), an initiative of the North Dakota University System (NDUS), is actively committed to reducing risk factors and increasing protective factors to positively influence behaviors related to substance abuse among North Dakota College Students. Specifically, the goals of the NDHECSAP are to assist campuses with evidenced based prevention programs, policies and practices. This is accomplished through ensuring campuses are compliant with Drug Free School and Communities Act (EDGAR 86), providing campus-level technical assistance related to substance abuse prevention, advocating for stronger prevention policies, and strengthening campus-community partnerships – especially with other state agencies and community coalitions.

NDHECSAP works collaboratively with campus and community partners utilizing the environmental management model of prevention, which is research-based and endorsed by the Department of Education. This approach recognizes that the decisions college students make about alcohol and other drug use are shaped by their entire environment. Thus prevention efforts are not only intended to change individuals' behavior, but are also aimed at changing the campus/community environment. This efficient statewide approach to address high-risk substance abuse has resulted in:

1. The obtainment in a state wide, five-year Strategic Prevention Framework State Incentive Grant of \$9.5 million, in partnership with the Department of Human Services, local public health units throughout the state, and other key statewide partners.
2. A single point of contact for alcohol and other drug prevention for higher education in North Dakota.
3. An opportunity for ND campuses to be involved in statewide and nationwide training and prevention initiatives.

# REQUEST DETAIL BY PROGRAM

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Office and Board Operations |                                       | Reporting Level: 00-215-100-00-00-00-00000000 |                             |   |                                  |
|---|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                                 | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Biennium Carryover</b>                   |                                       |   |                             |   |                                  |
| Operating Fees and Services                 | 297,848                               | 212,351                                       | (212,351)                   | 0   | 0                                |
| <b>Total</b>                                | <b>297,848</b>                        | <b>212,351</b>                                | <b>(212,351)</b>            | <b>0</b>                                  | <b>0</b>                         |
| <b>Biennium Carryover</b>                   |                                       |   |                             |   |                                  |
| General Fund                                | 297,848                               | 212,351                                       | (212,351)                   | 0   | 0                                |
| Federal Funds                               | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                               | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                | <b>297,848</b>                        | <b>212,351</b>                                | <b>(212,351)</b>            | <b>0</b>                                  | <b>0</b>                         |
| <b>System Governance</b>                    |                                       |   |                             |   |                                  |
| Operating Fees and Services                 | 6,927,667                             | 12,666,502                                    | (138,399)                   | 12,528,103                                | 1,883,507                        |
| <b>Total</b>                                | <b>6,927,667</b>                      | <b>12,666,502</b>                             | <b>(138,399)</b>            | <b>12,528,103</b>                         | <b>1,883,507</b>                 |
| <b>System Governance</b>                    |                                       |   |                             |   |                                  |
| General Fund                                | 6,376,226                             | 12,377,806                                    | 150,297                     | 12,528,103                                | 1,883,507                        |
| Federal Funds                               | 551,441                               | 288,696                                       | (288,696)                   | 0   | 0                                |
| Special Funds                               | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                | <b>6,927,667</b>                      | <b>12,666,502</b>                             | <b>(138,399)</b>            | <b>12,528,103</b>                         | <b>1,883,507</b>                 |
| <b>Total Expenditures</b>                   | <b>7,225,515</b>                      | <b>12,878,853</b>                             | <b>(350,750)</b>            | <b>12,528,103</b>                         | <b>1,883,507</b>                 |
| <b>Total</b>                                |                                       |   |                             |   |                                  |
| General Fund                                | 0                                     | 0   | 0                           | 0   | 0                                |
| Federal Funds                               | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                               | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                |                                       |   |                             |   |                                  |
| Opt Adj FTE                                 | 0                                     | 0   | 0                           | 0   | 3                                |
| <b>Funding Sources</b>                      |                                       |   |                             |   |                                  |
| <b>General Fund</b>                         |                                       |   |                             |   |                                  |
| <b>Total</b>                                | <b>6,674,074</b>                      | <b>12,590,157</b>                             | <b>(62,054)</b>             | <b>12,528,103</b>                         | <b>1,883,507</b>                 |
| <b>Federal Funds</b>                        |                                       |   |                             |   |                                  |
| E006 State Approving Agency                 | 177,444                               | 288,696                                       | (288,696)                   | 0   | 0                                |
| E007 ND Partners in Progress                | 162,726                               | 0   | 0                           | 0   | 0                                |

**REQUEST DETAIL BY PROGRAM**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

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| Program: System Office and Board Operations |                                       | Reporting Level: 00-215-100-00-00-00-00000000 |                             |   |                                  |
|---|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                                 | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| E008 College Access Grant                   | 211,271                               | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                | <b>551,441</b>                        | <b>288,696</b>                                | <b>(288,696)</b>            | <b>0</b>                                  | <b>0</b>                         |
| <b>Total Funding Sources</b>                | <b>7,225,515</b>                      | <b>12,878,853</b>                             | <b>(350,750)</b>            | <b>12,528,103</b>                         | <b>1,883,507</b>                 |
| <b>FTE Employees</b>                        | <b>22.04</b>                          | <b>34.60</b>                                  | <b>(1.00)</b>               | <b>33.60</b>                              | <b>0.00</b>                      |

**CHANGE PACKAGE DETAIL**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Office and Board Operations |          |     | Reporting Level: 00-215-100-00-00-00-00000000 |               |               |             |
|---|----------|-----|---|---------------|---------------|-------------|
| Description                                 | Priority | FTE | General Fund                                  | Federal Funds | Special Funds | Total Funds |

**Base Budget Changes****One Time Budget Changes**

|                                      |  |             |                  |          |          |                  |
|--------------------------------------|--|-------------|------------------|----------|----------|------------------|
| A-E 6 Remove 2011-13 Carryover       |  | 0.00        | (212,351)        | 0        | 0        | (212,351)        |
| <b>Total One Time Budget Changes</b> |  | <b>0.00</b> | <b>(212,351)</b> | <b>0</b> | <b>0</b> | <b>(212,351)</b> |

**Ongoing Budget Changes**

|                                     |  |               |                 |                  |          |                  |
|-------------------------------------|--|---------------|-----------------|------------------|----------|------------------|
| A-A 1 CTC and Operating Inflation   |  | 0.00          | 150,297         | 0                | 0        | 150,297          |
| A-A 20 2013-15 Adjusted FTE         |  | 34.60         | 0               | 0                | 0        | 0                |
| A-A 4 State Approving Agency        |  | (1.00)        | 0               | (288,696)        | 0        | (288,696)        |
| Base Payroll Change                 |  | (34.60)       | 0               | 0                | 0        | 0                |
| <b>Total Ongoing Budget Changes</b> |  | <b>(1.00)</b> | <b>150,297</b>  | <b>(288,696)</b> | <b>0</b> | <b>(138,399)</b> |
| <b>Total Base Budget Changes</b>    |  | <b>(1.00)</b> | <b>(62,054)</b> | <b>(288,696)</b> | <b>0</b> | <b>(350,750)</b> |

**Optional Budget Changes****One Time Optional Changes**

|  |   |             |               |          |          |               |
|--|---|-------------|---------------|----------|----------|---------------|
| A-D 1 Campus Security One-time         | 1 | 0.00        | 60,775        | 0        | 0        | 60,775        |
| <b>Total One Time Optional Changes</b> |   | <b>0.00</b> | <b>60,775</b> | <b>0</b> | <b>0</b> | <b>60,775</b> |

**Ongoing Optional Changes**

|                                       |   |             |                  |          |          |                  |
|---------------------------------------|---|-------------|------------------|----------|----------|------------------|
| A-C 13 Internal Audit                 | 4 | 3.00        | 1,822,732        | 0        | 0        | 1,822,732        |
| <b>Total Ongoing Optional Changes</b> |   | <b>3.00</b> | <b>1,822,732</b> | <b>0</b> | <b>0</b> | <b>1,822,732</b> |
| <b>Total Optional Budget Changes</b>  |   | <b>3.00</b> | <b>1,883,507</b> | <b>0</b> | <b>0</b> | <b>1,883,507</b> |

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00000000**Program Performance Measures**

NDUS has published annual accountability measures reports, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, which served as the basis for the Board's previous strategic plan, the report has evolved from a written annual report to an online report. A wrap-up report is being compiled in anticipation of the launch of the Board's 2015-2020 strategic plan. Future reporting on the new strategic plan and accountability is expected to not only be online but also in a contemporary, interactive format. The information may include information on graduation and retention rates, enrollment, peer comparisons, financial aid and tuition, degrees awarded and composite financial index information for the 11 campuses, although final measures have not yet been determined.

**Program Statistical Data**

NDUS administers the state grant, scholarship and loan forgiveness programs by collecting applicant data, processing and evaluating the application data, awarding recipients, requesting disbursements of all programs covered under the student grant programs. Records are maintained according to retention guidelines. Additionally, the NDUS Office Financial Aid provides training to high school and post-secondary personnel on the program rules and procedures, and responds to all inquiries on the state student aid program.

**State Student Financial Assistance Grants:** NDUS responsibilities:

- Obtain and evaluate the Institutional Student Information Record (ISIR) data based on program guidelines in preparation for the awarding process.
- Make awards to the neediest students and communicate those awards with recipients and institutions of higher learning.
- Enrollment verification and disbursement of grant funds.
- Maintain records in accordance with state guidelines.
- Respond to requests for information from students, high school and post-secondary personnel and legislators.
- Training of high school and post-secondary personnel as needed.

| <b>ND STATE GRANT</b>             | <b>2010-2011</b> | <b>2011-12</b> | <b>2012-13</b> | <b>2013-14</b> | <b>2014-15 projection*</b> |
|-----------------------------------|------------------|----------------|----------------|----------------|----------------------------|
| <b># of applications received</b> | 35,901           | 37,136         | 32,422         | 34,808         | 35,067                     |
| <b># of state grants offered</b>  | 11,960           | 11,599         | 8,178          | 11,651         | 10,550                     |
| <b># of state grants funded</b>   | 8,690            | 7,015          | 8,114          | 7,480          | 7,200*                     |

## PROGRAM NARRATIVE

215 ND University System

Date: 12/23/2014

Time: 12:07:08

|                                 |   |
|---------------------------------|---|
| Program: Student Grant Programs | Reporting level: 00-215-110-00-00-00-00000000 |
|---------------------------------|---|

|                               |             |             |              |             |              |
|-------------------------------|-------------|-------------|--------------|-------------|--------------|
| % of offered that were funded | 72.7%       | 60.5%       | 99.2%        | 64.2%       | 68.2%        |
| Total \$ amount funded        | \$9,193,229 | \$9,429,585 | \$10,624,816 | \$9,630,809 | \$11,392,695 |

\*Target award # at full and part-time.

### North Dakota Scholars Program: NDUS responsibilities:

- Obtain and certify with ACT, Inc. the results of the students who have placed in the top 5<sup>th</sup> percentile of all test-takers in North Dakota each year.
- For new awards, evaluate individual student ACT results based on program guidelines:
  - Initial awards provided to students with the highest composite scores.
  - If two or more students have the same composite scores, they must be ranked by the numeric sum of the four scale scores on the ACT test.
  - If two or more students have the same composite scores and the same numeric sum of the four scale scores, they must be ranked by the numeric sum of the science and mathematics scores.
- Evaluate and certify renewal criteria for Scholars. To maintain eligibility, students must have a cumulative grade point average of 3.50 on a 4.0 scale. Continued eligibility requires completion of 8 semesters of undergraduate study, or the attainment of a first bachelor's degree, whichever occurs first.
- Coordinate scholarship award amounts with institution, which is equal to the tuition charged at the Scholar's eligible institution, not to exceed the highest regular resident undergraduate tuition rate in the ND University System.
- Notify new and continuing Scholars of award eligibility and monitor eligibility criteria.
- Enrollment verification and disbursement of scholarship funds.
- Maintain records in accordance with state guidelines.
- Respond to requests for information from students, high school and post-secondary personnel and legislators.
- Training of high school and post-secondary personnel as needed.

| ND Scholars                                | 2010-2011 Cohort | 2011-12 Cohort | 2012-13 Cohort | 2013-14 Cohort | 2014-15 projection | 2015-16 projection |
|--|------------------|----------------|----------------|----------------|--------------------|--------------------|
| # of candidates meeting 5% ACT requirement | 327              | 387            | 358            | 374            | 380                | 365                |

## PROGRAM NARRATIVE

215 ND University System

Date: 12/23/2014

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Program: Student Grant Programs

Reporting level: 00-215-110-00-00-00-00000000

|                      |       |       |       |       |       |       |
|----------------------|-------|-------|-------|-------|-------|-------|
| # of offers made     | 102   | 98    | 94    | 83    | 85    | 92    |
| # of offers funded   | 63    | 46    | 43    | 46    | 46    | 41    |
| % of offers accepted | 61.8% | 46.9% | 45.7% | 55.4% | 54.1% | 44.6% |

### ND Academic & ND Career and Technical Education Scholarships: NDUS responsibilities:

- Co-administer the scholarship programs with ND Dept. of Public Instruction in accord with program guidelines.
- Evaluate eligibility, based on GPA, for renewal awards; communicate eligibility to students and institutions.
- Make new awards based on student data received from the ND Dept. of Public Instruction; communicate those awards to students and institutions.
- Enrollment verification and disbursement of scholarship funds.
- Track and maintain deferments and transfers.
- Track each scholarship recipient by cohort to enforce eligibility requirement based on the maximum timeframe to qualify for the award (6 years following high school graduation).
- Prepare annual report to the Legislative Council.
- Maintain records in accordance with state guidelines.
- Respond to requests for information from students, high school and post-secondary personnel and legislators.
- Training of high school and post-secondary personnel as needed.

| ND Academic and CTE Scholarships             | 2010-2011 Cohort | 2011-12 Cohort | 2012-13 Cohort | 2013-14 Cohort | 2014-15 Cohort projection |
|--|------------------|----------------|----------------|----------------|---------------------------|
| # of AC and CTE recipients received from DPI | 1,609            | 1,316          | 1,465          | 1,499          | 1,554*                    |

**PROGRAM NARRATIVE**

215 ND University System

**Date:** 12/23/2014**Time:** 12:07:08**Program:** Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00-00000000

|  |       |       |       |       |       |
|--|-------|-------|-------|-------|-------|
| # applicants with composite ACT score of 24                        | 1,255 | 1,043 | 1,125 | 1,182 | 1,168 |
| # applicants with at least 5 on each of three WorkKeys assessments | 361   | 257   | 326   | 317   | 386   |
| # Funded Academic Scholarships                                     | 1,050 | 695   | 719   | 793   | 795   |
| # Funded CTE Scholarships  | 354   | 384   | 475   | 514   | 520   |
| Total # of Funded Academic and CTE Scholarships                    | 1,404 | 1,079 | 1,194 | 1,307 | 1,315 |

\*Final 2014-15 data (940 Academic Scholarship and 614 CTE Scholarship); average “funded” per new cohort is 84.6%.

**North Dakota Indian Scholarship Program:** NDUS responsibilities:

- Accept and evaluate application data based on program guidelines.
- Make recommendations to the ND Indian Scholarship Board in the administration of the program and in the awarding of scholarships.
- Act as liaison to the ND Indian Scholarship Board and provide annual updates and report as needed regarding the program.
- Make scholarship awards to eligible undergraduate and graduate applicants based on merit (3.5 GPA or higher) or need (based on FAFSA data) and communicate the awards with recipients and institutions of higher learning.
- Enrollment verification and disbursement of scholarship funds.
- Maintain records in accordance with state guidelines.

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- Respond to requests for information from students, high school and post-secondary personnel and legislators.
- Training of high school and post-secondary personnel as needed.

| ND Indian Scholarship                   | 2010-2011 | 2011-12 | 2012-13 | 2013-14                                  | 2014-15 projection                        |
|---|-----------|---------|---------|--|---|
| # of "qualifying" applications received | 410       | 400     | 400     | 322                                      | 383                                       |
| # of applicants funded                  | 239       | 239     | 239     | 266                                      | 270*                                      |
| Award Amount                            | \$800     | \$1200  | \$1200  | \$1200 undergraduate;<br>\$1800 graduate | *\$1250 undergraduate;<br>\$1870 graduate |
| Average age of recipients               | 25        | 25      | 25      | 26                                       | 25  |

\*Funded applicants and award amounts based on ND Indian Scholarship Board recommended funding levels.

### Professional Student Exchange Program (PSEP): NDUS Responsibilities:

- Act as the certifying official for the WICHE applicants as well as for the contracts with the University of Minnesota (UMN), Kansas State University (KSU) and Iowa State University (IASU).
- Collect and evaluate applications to the PSEP program each year by the deadline of October 15.
- Communicate certified applicant data with each program coordinator (WICHE, UMN, KSU, IASU) and organize student interviews as needed.
- Make new awards to ranked students in each program in accord with program guidelines.
  - KSU – veterinary medicine
  - Iowa State University – veterinary medicine
  - University of MN – veterinary medicine and dentistry
  - WICHE – veterinary medicine, dentistry and optometry
- Track and maintain continuing students in the program for eligibility.
- Review and recommend for approval and payment each state support payment based on contract guidelines and current academic year rates.

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- Maintain records in accordance with state guidelines.
- Respond to requests for information from students, program coordinators and legislators.
- Current budget allows for the following new program slots each year:
  - KSU – 5 vet med
  - Iowa State – 4 vet med
  - University of MN – 2 vet med
  - WICHE – 1 vet med
  - University of MN – 5 dentistry
  - WICHE – 2 dentistry
  - WICHE – 8 optometry

| PSEP               | New Slots (Excluding KSU) |           |           | Continuing Slots (Excluding KSU) |           |           | KSU       |                     |
|--------------------|---------------------------|-----------|-----------|----------------------------------|-----------|-----------|-----------|---------------------|
|                    | Vet Med                   | Dentistry | Optometry | Vet Med                          | Dentistry | Optometry | New Slots | Continuing Students |
| 2007-08            | 5                         | 8         | 4         | 14                               | 16        | 11        | 5         | 4                   |
| 2008-09            | 7                         | 6         | 4         | 14                               | 20        | 11        | 5         | 9                   |
| 2009-10            | 6                         | 7         | 5         | 15                               | 20        | 12        | 3         | 13                  |
| 2010-11            | 5                         | 7         | 8         | 17                               | 20        | 13        | 6         | 13                  |
| 2011-12            | 5                         | 7         | 8         | 19                               | 20        | 17        | 4         | 14                  |
| 2012-13            | 5                         | 8         | 5         | 17                               | 20        | 22        | 4         | 12                  |
| 2013-14            | 7                         | 7         | 3         | 16                               | 20        | 23        | 3         | 14                  |
| 2014-15 projection | 7                         | 7         | 8         | 17                               | 21        | 18        | 3         | 12                  |

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|-----------------------|---|---|---|----|----|----|---|----|
| 2015-16<br>projection | 7 | 7 | 8 | 20 | 22 | 16 | 5 | 10 |
|-----------------------|---|---|---|----|----|----|---|----|

### Education Incentive Programs:

**1. Doctoral Graduates Program:** The SBHE approved the allocation of \$130,000 to UND and \$130,000 NDSU for the biennium from the Education Incentive Program in support of doctoral programs. The intent of this is to provide incentives to encourage additional doctoral graduates in North Dakota. UND and NDSU provided the following narrative regarding the use of the 2013-15 allocated funds.

UND – the 2013-15 doctoral program funds have/will be used as follows:

- Psychology Open House for travel and meals \$1,658.58.
- Seven summer Doctoral Fellowship Awards at \$5,000 each for a total of \$35,000.
- One Distinguished Dissertation Award in biology at \$500.
- Fifty eight students received doctoral student conference travel support at a total of \$26,338.83.
- Proposed commitments for the 2014/15 year are:
  - Five doctoral student travel support payments at \$500 each committed for 2013/14 not yet paid.
  - Remaining balance to be allocated in 2014/15 for the benefit of doctoral students.

NDSU – the 2013-15 doctoral program funds have will be used as follows:

- Doctoral dissertation fellowships are awarded to students who are within 1-2 years of completing their doctoral degree. This is a competitive program designed to provide funding for highly qualified students. The program supports degree completion for these students and allows faculty members to recruit a new student to their lab a year earlier than would be possible without this award. The fellowships are awarded by the Graduate School to 8 graduate students with a small portion used for operating. Operating funds will be used by these 8 students to purchase research supplies, support student travel for presentations at national/international meetings and to support student attendance at workshops related to their research. Students awarded were in the programs of study for Plant Sciences, Environmental and Conservation Sciences/Biological Sciences, Health Nutrition and Exercise Science, Psychology, English, and Pharmaceutical Sciences.
- Matching funds for the Bain Fellowship, awarded to a doctoral student in College of Agriculture, Food Systems and Natural Resources.

### 2. Teacher Shortage Loan Forgiveness Program: NDUS Responsibilities:

- Collect applications and supporting documentation on an annual basis beginning no earlier than April 1 and ending on June 30.
- Verify eligibility based on program guidelines, including verifying the applicant taught in an approved shortage area, verifying the applicant has a qualifying student loan, and verifying the applicant taught in a public or private school in North Dakota for one year.
- Make awards and communicate eligibility to applicants in accordance with program guidelines.
  - Priority funding given first to those previous year funded applicants who submit complete and qualifying applications prior to the deadline.
  - Second priority given to prior year funded applicants who submit complete and qualifying applications prior to the deadline.
  - Remaining funds will be allocated to new applicants. If funding is not sufficient to award all eligible applicants in a priority category, funding shall be allocated on date of receipt by the NDUS Financial Aid Office of completed applications until funds are expended.
- Request payment to the Bank of North Dakota for each eligible applicant's qualifying student loan for disbursement to the applicant's lending/servicing institution.

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- Maintain records in accordance with state guidelines.
- Respond to requests for information from applicants and legislators.

| Teacher Shortage Loan Forgiveness | 2010-11 | 2011-12 | 2012-13 | 2013-14 | *2014-15 projections |
|-----------------------------------|---------|---------|---------|---------|----------------------|
| # of Applications                 | 304     | 368     | 470     | 525     | 498                  |
| # of Qualified Applications       | 304     | 368     | 360     | 481     | 421                  |
| # of Funded Applications          | 304     | 368     | 360     | 377     | 395**                |
| % of Qualified Applicants Funded  | 100%    | 100%    | 100%    | 78.4%   | 94%                  |

\*2014-15 and 2015-16 projections based on average from 12/13 and 13/14 only as there was a significant increase to applications due to marketing beginning in 2012.

\*\*Based on remaining funding for 13-15 biennium.

### 3. STEM Occupations Student Loan Forgiveness Program: NDUS Responsibilities:

- Collect applications and supporting documentation on an annual basis beginning no earlier than May 1 and ending on June 30.
- Verify eligibility based on program guidelines, including verifying the applicant earned a qualifying STEM-related degree at a board-approved college and worked for at least 12 months (or a 9-month teaching contract) in a STEM-related field within ND for each payment, and verifying the applicant earned a minimum cumulative grade point average of 2.50 on a 4.0 grading system and that he/she has a qualifying student loan.
- Make awards and communicate eligibility to applicants in accordance with program guidelines.
  - Priority funding given first to those previous year funded applicants who submit complete and qualifying applications prior to the deadline.
  - Second priority given to prior year funded applicants who submit complete and qualifying applications prior to the deadline.
  - Remaining funds will be allocated to new applicants. If funding is not sufficient to award all eligible applicants in a priority category, funding shall be allocated on date of receipt by the NDUS Financial Aid Office of completed applications until funds are expended.
- Request payment to the Bank of North Dakota for each eligible applicant's qualifying student loan for disbursement to the applicant's lending/servicing institution.
- Maintain records in accordance with state guidelines.
- Respond to requests for information from applicants and legislators.

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| <b>STEM<br/>Occupations<br/>Loan<br/>Forgiveness</b> | <b>2010-11</b> | <b>2011-12</b> | <b>2012-13</b> | <b>2013-14</b> | <b>*2014-15<br/>projections</b> |
|--|----------------|----------------|----------------|----------------|---------------------------------|
| <b># of<br/>Applications</b>                         | 702            | 796            | 1,303          | 1,292          | 1,298                           |
| <b># of Qualified<br/>Applications</b>               | 702            | 796            | 1,117          | 1,161          | 1,139                           |
| <b># of Funded<br/>Applications</b>                  | 702            | 796            | 852            | 776            | 795**                           |
| <b>% of Qualified<br/>Applicants<br/>Funded</b>      | 100%           | 100%           | 76.3%          | 66.8%          | 69.8%                           |

\*2014-15 and 2015-16 projections based on average from 12/13 and 13/14 only as there was a significant increase to applications due to marketing beginning in 2012.

\*\*Based on remaining funding for 13-15 biennium.

**Tribal College Assistance Grants:** NDUS Responsibilities:

- Collect applications and supporting documentation from state tribal colleges annually.
- Evaluate application data and calculate the appropriated allocation to each institution based on FTE enrollment of non-beneficiary ND resident students, based on program guidelines.
- Request payment to state tribal colleges that submit required application documentation and who have the application data certified by Legislative Council as complete.
- Compile the annual report to Legislative Council.
- Maintain records in accordance with state guidelines.
- Respond to requests for information from applicants and legislators.

**Minnesota Reciprocity:** NDUS Responsibilities:

- Update the ND-MN Reciprocity Application annually with the MN Office of Higher Education to include the online application and the paper application.
- Ensure that the Reciprocity application complies with North Dakota residency guidelines and with the annual agreement executed between North Dakota and Minnesota.
- Process any paper applications received and supply confirmation letters as requested.
- Communicate with counselors, principals and NDUS admission's offices annually regarding the availability of the application and guidelines for qualifying.

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- Maintain records in accordance with state guidelines.
- Respond to requests for information from students, high school and post-secondary personnel and legislators.

| Reciprocity        | 05-06        | 06-07        | 07-08        | 08-09        | 09-10        | 10-11        | 11-12        | 12-13        | 13-<br>projec |
|--------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|
| MN to ND           | 8,065        | 8,030        | 8,435        | 8,226        | 8,496        | 10,042       | 10,335       | 10,693       | 10,9          |
| ND to MN           | 5,435        | 5,296        | 5,051        | 4,888        | 4,885        | 4,746        | 5,759        | 4,300        | 4,9           |
| Ratio: MN to<br>ND | 1.48         | 1.52         | 1.67         | 1.68         | 1.74         | 2.12         | 1.79         | 2.49         | 2.2           |
| Ratio: ND to<br>MN | 0.67         | 0.66         | 0.60         | 0.59         | 0.57         | 0.47         | 0.56         | 0.40         | .4            |
| Pmt from MN        | 1,541,414.00 | 2,250,164.00 | 3,114,302.00 | 3,078,707.00 | 4,029,094.00 | 4,212,845.00 | 5,190,278.00 | 5,761,508.00 | 4,798,4       |

\*4-year average

### Veterans Assistance Programs:

HB1289 (2013) appropriated \$325,000 to the NDUS for the “purpose of providing assistance to eligible veterans.” The State Board of Higher Education allocated the funds to M continue the current Veterans Upward Bound (VUB) program under a new name Veteran’s Educational Training Program. However, due to the overall funding reduction from t current level, services will need to be scaled back to fit within the resources available, as determined by NDSU. NDSU has been the grant holder for the federally funded VUB p since 1972. It is a competitive grant through the Department of Education to assist low-income, first generation veterans who wish to attain a postsecondary education through college basic coursework. Examples of services currently provided include: assessment of preparedness level; GED testing; math, English, and computer instruction; tailored i instruction; college application assistance; and college retention and graduation programs. In addition to the courses, they provide referral services for veterans in need of addit help, such as Post Traumatic Stress Disorder, Traumatic Brain Injury, socialization, suicide prevention and counseling services. The most current federal grant to NDSU was \$ for the two-year period, which was used to fund 3.25 FTE positions, time-slip instructors, operating costs, etc. In 2013, there were 300 applicants competing for 46 grants, more double the normal competition. NDSU was not funded and as such the current grant and services to veterans ceased after 8/31/13. The \$325,000 state appropriation will help replace about 60% of the lost federal funds. The remaining reduction of \$223,000 will have to be absorbed through staffing and other reductions.

The target region for the program has been all of North Dakota, northwest Minnesota and upper South Dakota, with classrooms and full-time staff located in Fargo and Grand F online courses available state-wide. UND has provided in-kind space for an NDSU VUB employee in Grand Forks. The current program serves students who are preparing to

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all NDUS institutions. NDSU intends to continue to provide this outreach service to the veterans in the state of North Dakota to prepare and encourage enrollment at any one of NDUS institutions, recognizing that all services will be scaled back; however, this may be offset by an expanded on-line presence. Furthermore, the federal program limited service to low-income, first generation students. The state funds permit an expansion to serve all veterans, as feasible.

### Explanation of Program Costs

**State Student Financial Assistance Grants:** The 2015-17 budget request, totaling \$22,968,679, includes an increase of \$1,723,000 to provide for approximately a 4% increase per year from \$1,650, which is the current statutory maximum. Increasing the award beyond the current level of \$1,650 will require legislative action.

- 2013/14 maximum award per student \$1,648 at a total cost of approximately \$9.6 million
- 2014/15 maximum award per student \$1,650 at a total anticipated cost of approximately \$11.4-\$11.5 million
- 15/16 recommended 4% increase \$1,716 at a total anticipated cost of approximately \$11.8-\$11.9 million
- 16/17 recommended 4% increase \$1,784 at a total anticipated cost of approximately \$12.3-\$12.4 million

This budget funding request, plus an estimated \$1.2-\$1.37 million carryover, would result in estimated total funds available of \$24.2-\$24.3 million. This would fund approximately 7,200-7,250 awards per year of both fulltime and part-time students. Beginning in the 2013/14 academic year, part-time enrollees were able to benefit from the state grant funding for the first time. The support of federal funding was eliminated in 2011.

**North Dakota Scholars Program:** The 2015-17 budget request, totaling \$2,113,584, is the same level of funding as the 2013-15 biennium. In addition, approximately \$104,000 carryover is estimated at this time. This request is to fund 40-45 new student awards per year, which includes one-time stipend of \$2,000, in addition to funding continuing students. Program costs reflect the maximum award amount a student may receive is equal to the tuition charged at the scholar's eligible institution, not to exceed the highest regular (not professional or pre-professional) resident undergraduate tuition rate in the NDUS. The Scholar may not receive the scholarship for more than 8 semesters or 12 quarters, or until the attainment of the student's baccalaureate degree, whichever occurs first.

| ND Scholars | New freshmen funded | Continuing SO-SR funded                | Total count funded | Total Cost  |
|-------------|---------------------|--|--------------------|---|
| 2010-11     | 63                  | Sophomore 48<br>Junior 35<br>Senior 36 | 182                | \$477,502 freshmen; \$659,809 continuing students |
| 2011-12     | 46                  | Sophomore 52<br>Junior 44<br>Senior 33 | 175                | \$362,071 freshmen; \$762,190 continuing students |

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|--------------------------|----|--|-----|--|
| <b>2012-13</b>           | 43 | Sophomore 41<br>Junior 52<br>Senior 42 | 178 | \$344,630 freshmen; \$813,730 continuing students  |
| <b>2013-14</b>           | 43 | Sophomore 38<br>Junior 36<br>Senior 52 | 169 | \$355,616 freshmen; \$758,755 continuing students  |
| <b>2014-15 projected</b> | 46 | Sophomore 40<br>Junior 34<br>Senior 36 | 156 | *\$395,784 freshmen; \$726,440 continuing students |
| <b>2015-16 projected</b> | 40 | Sophomore 41<br>Junior 38<br>Senior 31 | 150 | *\$354,720 freshmen; \$755,480 continuing students |
| <b>2016-17 projected</b> | 40 | Sophomore 35<br>Junior 36<br>Senior 35 | 146 | *\$365,720 freshmen; \$757,158 continuing students |

\*based on highest NDUS rate of \$6,604 and an annual anticipated increase of 4% in 15/16 and 16/17

**ND Academic and CTE Scholarships (ACA/CTE):** The 2015-17 budget request, totaling \$17,171,000, includes increase of \$7,171,000 for the following: \$3,294,000 increase to maintain current ACA/CTE scholarship at \$1,500 per year for 4 full classes for 4 years; and \$3,877,000 to increase the ACA/CTE scholarship from \$1,500 per year to \$2,500 per year. At this time, carryover is estimated at approximately \$2. million.

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In the 2014/15 academic year, the fifth cohort of qualifying students will enter the scholarship programs. The 5-year average number of new students entering the program per year has been 1,438 per year.

| <b>Cohort</b> | <b>New Scholarship Recipients</b> | <b>Academic Scholarship</b> | <b>CTE Scholarship</b> |
|---------------|-----------------------------------|-----------------------------|------------------------|
| Cohort 1      | 1589                              | 1193                        | 396                    |
| Cohort 2      | 1201                              | 777                         | 424                    |
| Cohort 3      | 1348                              | 828                         | 520                    |
| Cohort 4      | 1499                              | 932                         | 567                    |
| Cohort 5      | 1554                              | 940                         | 614                    |

Since inception, 417 students have fully realized the \$6,000 scholarship as of spring 2014. There are 783 students suspended from the scholarship due to low GPA and 21 additional students who are no longer eligible due to other criteria. Therefore, 11.1% of the total scholarship recipients are no longer eligible. In addition, many students do not utilize their scholarship each semester due to non-attendance, attending out of state or taking too few credits. In 2013-14 an average of 1,372 students “deferred” their scholarship for one of these reasons either in fall or spring, or in both semesters.

Return rate information is limited as the program data has been collected for only 4 cohorts. The following return rates from year to year are anticipated as of spring 2014. Students have up to 6 years following high school graduation to fully utilize the scholarship.

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| <b>ND Academic Scholarship</b> | Average year 1-2 return rate    | 79% |
|                                | Average year 2-3 return rate    | 71% |
|                                | Average year 3-4 return rate    | 61% |
|                                | *Estimated year 4-5 return rate | 56% |
|                                | *Estimated year 5-6 return rate | 51% |
| <b>ND CTE Scholarship</b>      | Average year 1-2 return rate    | 67% |
|                                | Average year 2-3 return rate    | 50% |
|                                | Average year 3-4 return rate    | 40% |
|                                | *Estimated year 4-5 return rate | 35% |
|                                | *Estimate year 5-6 return rate  | 30% |

\*Estimated return rate - anticipating 5% reduction in the return rate in years 4-5 and again in 5-6.

Student eligibility based on GPA and on the number of enrolled credits is validated on a semester-by-semester basis. The following represents actual disbursements each term through the 2013/14 academic year. The projected disbursements for 2014/15 through 2016/17 are also noted, and the projected number of awards is based on \$1,500 per estimated number of FTE students.

| <b>Term</b>      | <b>\$ Disbursed</b> | <b># Disbursed</b> | <b>Academic Scholarship #</b> | <b>CTE Scholarship #</b> |
|------------------|---------------------|--------------------|-------------------------------|--------------------------|
| <b>Fall 2010</b> | \$1,044,750         | 1,393              | 1,041                         | 352                      |

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|-----------------------------|-------------|-------|-------|-------|
| <b>Spring 2011</b>          | \$822,750   | 1,097 | 859   | 238   |
| <b>Fall 2011</b>            | \$1,477,500 | 1,970 | 1,412 | 558   |
| <b>Spring 2012</b>          | \$1,413,000 | 1,884 | 1,343 | 541   |
| <b>Fall 2012</b>            | \$2,079,500 | 2,774 | 1,913 | 861   |
| <b>Spring 2013</b>          | \$2,000,500 | 2,669 | 1,833 | 836   |
| <b>Fall 2013</b>            | \$2,777,750 | 3,704 | 2,520 | 1,184 |
| <b>Spring 2014</b>          | \$2,630,000 | 3,506 | 2,383 | 1,123 |
| <b>2014/15 AY projected</b> | \$6,654,438 | 4,436 | 3,000 | 1,436 |
| <b>2015/16 AY projected</b> | \$7,644,528 | 5,096 | 3,523 | 1,573 |
| <b>2016/17 AY projected</b> | \$7,786,225 | 5,191 | 3,564 | 1,627 |

The 2015-17 budget request, totaling \$17,171,000, includes increase of \$7,171,000 for the following: \$3,294,000 increase to maintain current ACA/CTE scholarship at \$1,500 per year for 4 full classes for 4 years; and \$3,877,000 to increase the ACA/CTE scholarship from \$1,500 per year to \$2,500 per year. At this time, carryover is estimated at approximately \$2.1 million.

**North Dakota Indian Scholarship Program:** The 2015-17 budget request, totaling \$649,267, is the same level of funding as the 2013-15 biennium. In addition, approximately \$1,050 is estimated for carryover at this time. In 2013-14, 243 undergraduates and 23 graduates were funded for a total of \$310,200. Total applications received in 2013-14 were 508 but only 322 were complete, qualifying applications. This equates to 82.6% of the qualifying applicants being funded. The average GPA was 3.167. Of the funded applicants, 80 qualified as “merit” awards in the amount of \$99,900 and 186 qualified as “need-based” awards in the amount of \$210,300. The goal set by the ND Indian Scholarship Board is to increase award amounts by 4% annually up to the statutory maximum level of \$2,000 per academic year per student. The 2014/15 awards will increase from \$1,200 undergraduate to \$1,250, and for graduate students, the amount will increase from \$1,800 to \$1,870. The student count goal is to fund 240 undergraduates and 30 graduates.

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**Professional Student Exchange Program (PSEP):** The 2015-17 budget request, totaling \$4,275,015 (\$3,809,708 GF and \$465,307 OF), is the same level of funding as the 2013-15 biennium. The other funds of \$465,307 are from the Student Loan Trust Fund, and they partially fund students in Veterinary Medicine at Kansas State University. Carryover is estimated at approximately \$575,300 at this time.

In the 2013-14 and 2014-15 academic years, the budget request was to support 12 new veterinary medicine students (including KSU), 7 new dentistry students and 8 new optometry students per year. In 2013-14, two new veterinary medicine slots at KSU were not filled and 5 new optometry slots through WICHE were not filled. It is anticipated in the 2014-15 year that all new slots will be filled except for 2 within the veterinary medicine program at KSU. The budget also supports continuing students through completion of their program. In 2013-14 funding supported 67 continuing professional student. It is anticipated that 65 continuing student will again be supported in 2014-15.

### PSEP Awarding History

2012-13

|       | Dentistry |              | Optometry |              | Vet Med |              | Vet Med KSU |              | TOTAL # | TOTAL \$       |
|-------|-----------|--------------|-----------|--------------|---------|--------------|-------------|--------------|---------|----------------|
|       | #         | \$           | #         | \$           | #       | \$           | #           | \$           |         |                |
| FR    | 8         | \$156,289.50 | 5         | \$80,500.00  | 5       | \$117,215.00 | 4           | \$101,200.00 |         |                |
| SO    | 6         | \$115,304.00 | 9         | \$144,900.00 | 5       | \$121,544.00 | 4           | \$101,200.00 |         |                |
| JR    | 7         | \$131,395.00 | 8         | \$131,200.00 | 6       | \$145,007.25 | 5           | \$126,500.00 |         |                |
| SR    | 7         | \$101,872.50 | 5         | \$80,500.00  | 6       | \$130,371.50 | 3           | \$75,900.00  |         |                |
| TOTAL | 28        | \$504,861.00 | 27        | \$437,100.00 | 22      | \$514,137.75 | 16          | \$404,800.00 | 93      | \$1,860,898.75 |

2013-14

|    |   |              |   |             |   |              |   |             |  |  |
|----|---|--------------|---|-------------|---|--------------|---|-------------|--|--|
| FR | 7 | \$134,056.32 | 3 | \$49,200.00 | 8 | \$159,030.00 | 3 | \$65,147.50 |  |  |
|----|---|--------------|---|-------------|---|--------------|---|-------------|--|--|

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|----|---|--------------|---|-------------|---|--------------|---|--------------|
| SO | 8 | \$164,123.20 | 6 | \$98,400.00 | 5 | \$132,264.00 | 4 | \$104,236.00 |
|----|---|--------------|---|-------------|---|--------------|---|--------------|

|    |   |              |   |              |   |              |   |              |
|----|---|--------------|---|--------------|---|--------------|---|--------------|
| JR | 5 | \$103,253.92 | 9 | \$147,600.00 | 5 | \$125,352.00 | 4 | \$104,236.00 |
|----|---|--------------|---|--------------|---|--------------|---|--------------|

|    |   |              |   |              |   |              |   |              |
|----|---|--------------|---|--------------|---|--------------|---|--------------|
| SR | 7 | \$119,790.70 | 8 | \$131,200.00 | 6 | \$141,879.00 | 6 | \$143,324.50 |
|----|---|--------------|---|--------------|---|--------------|---|--------------|

|       |    |              |    |              |    |              |    |              |           |                       |
|-------|----|--------------|----|--------------|----|--------------|----|--------------|-----------|-----------------------|
| TOTAL | 27 | \$521,224.14 | 26 | \$426,400.00 | 24 | \$558,525.00 | 17 | \$416,944.00 | <b>94</b> | <b>\$1,923,093.14</b> |
|-------|----|--------------|----|--------------|----|--------------|----|--------------|-----------|-----------------------|

2014-15 Est.

|    |   |              |   |              |   |              |   |             |
|----|---|--------------|---|--------------|---|--------------|---|-------------|
| FR | 7 | \$141,625.00 | 8 | \$134,400.00 | 7 | \$179,824.00 | 3 | \$80,522.28 |
|----|---|--------------|---|--------------|---|--------------|---|-------------|

|    |   |              |   |             |   |              |   |             |
|----|---|--------------|---|-------------|---|--------------|---|-------------|
| SO | 7 | \$141,625.00 | 3 | \$50,400.00 | 8 | \$163,994.00 | 3 | \$80,522.28 |
|----|---|--------------|---|-------------|---|--------------|---|-------------|

|    |   |              |   |              |   |              |   |              |
|----|---|--------------|---|--------------|---|--------------|---|--------------|
| JR | 8 | \$166,027.00 | 6 | \$100,800.00 | 5 | \$136,862.00 | 4 | \$107,363.04 |
|----|---|--------------|---|--------------|---|--------------|---|--------------|

|    |   |             |   |              |   |              |   |              |
|----|---|-------------|---|--------------|---|--------------|---|--------------|
| SR | 5 | \$92,540.00 | 9 | \$151,200.00 | 5 | \$128,068.00 | 4 | \$107,363.04 |
|----|---|-------------|---|--------------|---|--------------|---|--------------|

|       |    |              |    |              |    |              |    |              |           |                       |
|-------|----|--------------|----|--------------|----|--------------|----|--------------|-----------|-----------------------|
| TOTAL | 27 | \$541,817.00 | 26 | \$436,800.00 | 25 | \$608,748.00 | 14 | \$375,770.64 | <b>92</b> | <b>\$1,963,135.64</b> |
|-------|----|--------------|----|--------------|----|--------------|----|--------------|-----------|-----------------------|

2015-16 Est.\*

|    |   |              |   |              |   |              |   |              |
|----|---|--------------|---|--------------|---|--------------|---|--------------|
| FR | 7 | \$147,170.00 | 8 | \$136,800.00 | 7 | \$159,442.00 | 5 | \$140,914.00 |
|----|---|--------------|---|--------------|---|--------------|---|--------------|

|    |   |              |   |              |   |              |   |             |
|----|---|--------------|---|--------------|---|--------------|---|-------------|
| SO | 7 | \$174,170.00 | 8 | \$136,800.00 | 7 | \$187,852.00 | 3 | \$84,548.40 |
|----|---|--------------|---|--------------|---|--------------|---|-------------|

|    |   |              |   |             |   |              |   |             |
|----|---|--------------|---|-------------|---|--------------|---|-------------|
| JR | 7 | \$147,170.00 | 3 | \$51,300.00 | 8 | \$171,229.00 | 3 | \$84,548.40 |
|----|---|--------------|---|-------------|---|--------------|---|-------------|

|    |   |              |   |              |   |              |   |              |
|----|---|--------------|---|--------------|---|--------------|---|--------------|
| SR | 8 | \$151,095.00 | 6 | \$102,600.00 | 5 | \$140,003.00 | 4 | \$112,731.20 |
|----|---|--------------|---|--------------|---|--------------|---|--------------|

# PROGRAM NARRATIVE

215 ND University System

Date: 12/23/2014

Time: 12:07:08

| Program: Student Grant Programs                     |       |    |              |    | Reporting level: 00-215-110-00-00-00-00000000 |    |              |    |              |     |                |
|---|-------|----|--------------|----|---|----|--------------|----|--------------|-----|----------------|
| 2016-17 Est.*                                       | TOTAL | 29 | \$619,605.00 | 25 | \$427,500.00                                  | 27 | \$658,526.00 | 15 | \$422,742.00 | 96  | \$2,128,373.00 |
|   | FR    | 7  | \$153,170.00 | 8  | \$139,400.00                                  | 7  | \$166,320.00 | 5  | \$147,959.70 |     |                |
|   | SO    | 7  | \$153,170.00 | 8  | \$139,400.00                                  | 7  | \$166,320.00 | 5  | \$147,959.70 |     |                |
|   | JR    | 7  | \$153,170.00 | 8  | \$139,400.00                                  | 7  | \$196,152.00 | 3  | \$88,775.82  |     |                |
|   | SR    | 7  | \$130,975.00 | 3  | \$52,275.00                                   | 8  | \$180,870.00 | 3  | \$88,775.82  |     |                |
|   |       |    |              |    |   |    |              |    |              |     |                |
|   | TOTAL | 28 | \$590,485.00 | 27 | \$470,475.00                                  | 29 | \$709,662.00 | 16 | \$473,471.04 | 100 | \$2,244,093.04 |
| *Estimated based on 5% tuition increases from 14/15 |       |    |              |    |   |    |              |    |              |     |                |

**Education Incentive Programs:** The 2015-17 budget request, totaling \$3,349,000, is the same level of funding as the 2013-15 biennium, for the Doctoral Graduate, Teacher Shortage Loan Forgiveness and STEM Loan Forgiveness Programs. No carryover is anticipated at this time.

- **Doctoral Graduates Program:** Total funding of \$260,000 is provided to UND and NDSU each biennium (\$130,000 each) to propagate doctoral programs and graduates in the state. Both schools received this funding for the 2013-15 biennium in fall 2013.
- **Teacher Shortage Loan Forgiveness Program:**

-Net total dollars funded:

2010 \$382,560

2011 \$302,168

2012 \$364,196

2013 \$357,278

2014 \$371,795 projected

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00-00000000

2015 \$395,000 projected (395 applicants)

-Level funding in the 15-17 biennium will allow for approximately 780 awards per year at \$1500.

- STEM Occupations Student Loan Forgiveness Program:**

-Net total dollars funded:

2010 \$876,055

2011 \$1,037,168

2012 \$1,318,422

2013 \$1,254,563

2014 \$1,134,728 projected

2015 \$1,192,500 projected (795 applicants)

-Level funding in the 15-17 biennium will allow for approximately 374 awards per year at \$1000

**Tribal College Assistance Grants:**

The 2013-14 legislature appropriated \$1 million general funds for supplemental grant assistance to tribal colleges in North Dakota for non-beneficiary student enrollment. No more than 50% of the appropriated funds, or \$500,000 may be allocated per each year of the biennium. Additionally, the funding formula may not exceed the per-student reimbursement rate set by the Tribally Controlled Colleges & University Act of 1978 [25 U.S.C. 20], which was \$5,665 for the 13/14 year.

The 2014 awards were disbursed as follows:

**Calculation of 2014 Institutional Awards – Tribally Controlled College Assistance Grants – Year 1**

|                       | <b>CCCC</b> | <b>FBCC</b> | <b>SBC</b>  | <b>TMCC</b>  | <b>UTTC</b>  | <b>Total Year 1 Awards</b> |
|-----------------------|-------------|-------------|-------------|--------------|--------------|----------------------------|
| <b>FTE</b>            | 11.042      | 13.375      | 6.167       | 31.583       | 51.167       | 113.334                    |
| <b>Awards Per FTE</b> | \$48,714.42 | \$59,007.01 | \$27,207.19 | \$139,335.95 | \$225,735.43 | \$500,000.00               |

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00000000**Program Goals and Objectives**

**State Student Financial Assistance Grants:** Also known as the ND State Grant, this award provides non-repayable need-based grants to ND resident students who are first-time, undergraduate students. Recipients must attend qualifying post-secondary institutions within the state. North Dakota residents who, because of physical or mental disabilities must attend an out-of-state institution due to lack of special services, facilities or both within North Dakota may also qualify. Grants may be awarded for up to the equivalent of 8 fulltime semesters and are awarded to students with the highest financial need. Awardees must maintain academic progress at their institution to qualify. The application is the Free Application for Federal Student Aid (FAFSA) and the priority application deadline is April 15.

**North Dakota Scholars Program:** This program provides full-tuition scholarships to the top-ranked high school graduates based on ACT scores. This program encourages the best and brightest of ND high school graduates to remain in North Dakota to obtain an undergraduate education. High school students who rank in the top 95<sup>th</sup> percentile of all test-takers in the state of ND receive consideration for the award. The ACT test must be taken prior to July 1 preceding the start of the senior year. Recipients must be attending an eligible institution of higher education within the state of ND, be enrolled as a fulltime student and maintain a 3.50 grade point average. Recipients are eligible for a maximum of 8 semesters or the attainment of a bachelor's degree, whichever occurs first.

**ND Academic & CTE Scholarships:** The North Dakota Academic Scholarship and the North Dakota Career and Technical Education Scholarship were authorized by the 2009 legislature to encourage and reward high school students for taking challenging coursework in preparation for attending an accredited North Dakota public, private or tribal college or university. Recipients have up to 6 years following high school graduation to utilize the scholarship, which is currently a total of \$6,000. Recipients must maintain a 2.75 grade point average for renewal and meet the program's definition of "fulltime" student. Initial eligibility criteria for both scholarships are as follows.

**ND Academic Scholarship:**

1. ND resident graduating from a ND high school or high school in a bordering state.
2. Complete defined coursework.
3. Earn no grade lower than a "C" on any unit required for the diploma and the scholarship.
4. Earn a minimum cumulative grade point average of a 3.0 on a 4.0 scale.
5. Complete 1 unit of AP course and exam or ½ unit of a dual credit course.
6. Earn a 24 or higher on the ACT test.

**ND Career and Technical Education Scholarship:**

1. ND resident graduating from a ND high school or high school in a bordering state.
2. Complete defined coursework.
3. Earn no grade lower than a "C" on any unit required for the diploma and the scholarship.
4. Earn a minimum cumulative grade point average of 3.0 on a 4.0 scale.
5. Earn a 24 or higher on the ACT test or score at least "5" on each of 3 WorkKeys assessments.

**North Dakota Indian Scholarship Program:** This scholarship program assists Native American undergraduate and graduate students in obtaining a college education. The scholarship provides awards not to exceed \$2,000 per academic year to eligible fulltime students who attend an eligible institution of higher education in the state of ND. Awards may be either merit (3.50 GPA or higher) or need-based. Students apply through the North Dakota University System annually for consideration. The Free Application for Federal Student Aid (FAFSA) is required for need-based awards. The priority deadline for application is July 15.

**Professional Student Exchange Program (PSEP):** PSEP provides access and support to North Dakota resident students seeking professional training in veterinary medicine, dentistry and optometry at out-of-state institutions. Since these professional programs do not exist within the state, the slots secured in the out-of-state

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00000000

programs provide the opportunity for enrollment in highly competitive programs. North Dakota currently secures admission slots through the Western Interstate Commission for Higher Education (WICHE) in the content areas of veterinary medicine, dentistry and optometry; through the University of Minnesota in veterinary medicine and dentistry; through Iowa State University in veterinary medicine and through Kansas State University in veterinary medicine. Applicants must apply through the North Dakota University System by October 15 proceeding the first year of professional studies for consideration. The awards support a portion of the student's tuition cost in these professional areas.

**Education Incentive Programs:** The education incentive programs provide funding in three programs, doctoral programs at the University of North Dakota and North Dakota State University, loan forgiveness for qualifying teachers who teach fulltime within North Dakota, and loan forgiveness for individuals who have earned science, technology, engineering or math (STEM) related degrees and who are working fulltime within North Dakota in qualifying STEM fields.

- **Doctoral Graduates Program:** UND and NDSU are awarded \$130,000 per biennium to promulgate additional doctoral level graduates and programs within the state.
- **Teacher Shortage Loan Forgiveness Program:** This program is designed to reduce student loan indebtedness for individuals who teach within North Dakota on a fulltime basis in content areas &/or grade levels defined by the Department of Public Instruction as having a shortage. The program provides qualifying recipients \$1,000 per year in continued loan forgiveness subject to a maximum of 3 years or \$3,000. Applicants must apply annually to the NDUS.
- **STEM Occupations Student Loan Forgiveness Program:** This program is designed to reduce student loan indebtedness for individuals who have earned a STEM-related degree and who work in a STEM-related field within North Dakota. The program provides qualifying recipients \$1,500 per year in continued loan forgiveness subject to a maximum of 4 years or \$6,000 for qualifying student loans. Applicants must apply annually to the NDUS.

**Tribal College Assistance Grants:** These grants are awarded to tribal colleges within the state of North Dakota based on non-beneficiary student enrollment. An application from each tribal college is due annually.

**Veterans Assistance Programs:** The 63rd legislative assembly appropriated funds to the NDUS for the purpose of providing assistance to eligible veterans, for the 2013-15 biennium.

1. An eligible veteran must be:

- a. Enrolled at or in the process of enrolling at an institution of higher education located in this state; or
- b. Enrolled at or in the process of enrolling at an institution of higher education located in an adjacent state, provided the institution participates in a course exchange agreement with an institution of higher education located in this state.

2. The department of veterans' affairs may accept and expend gifts, grants, and donations, for the purpose of providing additional assistance to eligible veterans.

**Minnesota Reciprocity:** The reciprocity program with Minnesota allows North Dakota resident students to take advantage of academic programs at Minnesota state institutions at the Minnesota resident rate, based on the program of instruction at the institution attended.

**GAP Scholarship:** The Bank of North Dakota (BND) and the North Dakota University System (NDUS) are jointly discussing financial aid opportunities for post-secondary education students to: 1.) Improve access to higher education; 2.) Act as a retention tool to promote completion; and, 3.) Shrink the debt load of North Dakota resident student. The potential target groups are low to middle income families, to help them address the gap in grants and scholarships compared to overall costs. **The NDUS budget request does not include a dollar amount for this scholarship, and more details will be provided as the concept is further developed.**

# REQUEST DETAIL BY PROGRAM

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: Student Grant Programs            |                                       | Reporting Level: 00-215-110-00-00-00-00000000 |                             |   |                                  |
|--|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                                | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Student Financial Assistance Grants</b> |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                  | 20,097,526                            | 22,394,300                                    | 574,379                     | 22,968,679                                | 0                                |
| <b>Total</b>                               | <b>20,097,526</b>                     | <b>22,394,300</b>                             | <b>574,379</b>              | <b>22,968,679</b>                         | <b>0</b>                         |
| <b>Student Financial Assistance Grants</b> |                                       |   |                             |   |                                  |
| General Fund                               | 20,097,526                            | 22,394,300                                    | 574,379                     | 22,968,679                                | 0                                |
| Federal Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                               | <b>20,097,526</b>                     | <b>22,394,300</b>                             | <b>574,379</b>              | <b>22,968,679</b>                         | <b>0</b>                         |
| <b>Veterans Assistance Programs</b>        |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                  | 0                                     | 325,000                                       | 0                           | 325,000                                   | 0                                |
| <b>Total</b>                               | <b>0</b>                              | <b>325,000</b>                                | <b>0</b>                    | <b>325,000</b>                            | <b>0</b>                         |
| <b>Veterans Assistance Programs</b>        |                                       |   |                             |   |                                  |
| General Fund                               | 0                                     | 325,000                                       | 0                           | 325,000                                   | 0                                |
| Federal Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                               | <b>0</b>                              | <b>325,000</b>                                | <b>0</b>                    | <b>325,000</b>                            | <b>0</b>                         |
| <b>ND Scholars Program</b>                 |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                  | 2,282,580                             | 2,340,424                                     | (226,840)                   | 2,113,584                                 | 0                                |
| <b>Total</b>                               | <b>2,282,580</b>                      | <b>2,340,424</b>                              | <b>(226,840)</b>            | <b>2,113,584</b>                          | <b>0</b>                         |
| <b>ND Scholars Program</b>                 |                                       |   |                             |   |                                  |
| General Fund                               | 2,282,580                             | 2,340,424                                     | (226,840)                   | 2,113,584                                 | 0                                |
| Federal Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                               | <b>2,282,580</b>                      | <b>2,340,424</b>                              | <b>(226,840)</b>            | <b>2,113,584</b>                          | <b>0</b>                         |
| <b>Native American Scholarship</b>         |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                  | 556,400                               | 667,351                                       | (18,084)                    | 649,267                                   | 0                                |
| <b>Total</b>                               | <b>556,400</b>                        | <b>667,351</b>                                | <b>(18,084)</b>             | <b>649,267</b>                            | <b>0</b>                         |
| <b>Native American Scholarship</b>         |                                       |   |                             |   |                                  |
| General Fund                               | 556,400                               | 667,351                                       | (18,084)                    | 649,267                                   | 0                                |
| Federal Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                              | 0                                     | 0   | 0                           | 0   | 0                                |

# REQUEST DETAIL BY PROGRAM

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: Student Grant Programs          |                                       | Reporting Level: 00-215-110-00-00-00-00000000 |                             |   |                                  |
|--|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                              | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Total</b>                             | <b>556,400</b>                        | <b>667,351</b>                                | <b>(18,084)</b>             | <b>649,267</b>                            | <b>0</b>                         |
| <b>Education Incentive Programs</b>      |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                | 3,555,285                             | 3,367,055                                     | (18,055)                    | 3,349,000                                 | 0                                |
| <b>Total</b>                             | <b>3,555,285</b>                      | <b>3,367,055</b>                              | <b>(18,055)</b>             | <b>3,349,000</b>                          | <b>0</b>                         |
| <b>Education Incentive Programs</b>      |                                       |   |                             |   |                                  |
| General Fund                             | 3,555,285                             | 3,367,055                                     | (18,055)                    | 3,349,000                                 | 0                                |
| Federal Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                             | <b>3,555,285</b>                      | <b>3,367,055</b>                              | <b>(18,055)</b>             | <b>3,349,000</b>                          | <b>0</b>                         |
| <b>Tribal Community College Grants</b>   |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                | 1,000,000                             | 1,000,000                                     | 0                           | 1,000,000                                 | 0                                |
| <b>Total</b>                             | <b>1,000,000</b>                      | <b>1,000,000</b>                              | <b>0</b>                    | <b>1,000,000</b>                          | <b>0</b>                         |
| <b>Tribal Community College Grants</b>   |                                       |   |                             |   |                                  |
| General Fund                             | 1,000,000                             | 1,000,000                                     | 0                           | 1,000,000                                 | 0                                |
| Federal Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                             | <b>1,000,000</b>                      | <b>1,000,000</b>                              | <b>0</b>                    | <b>1,000,000</b>                          | <b>0</b>                         |
| <b>Academic and Tech Ed. Scholarship</b> |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims                | 6,970,842                             | 14,158,262                                    | 3,012,738                   | 17,171,000                                | 0                                |
| <b>Total</b>                             | <b>6,970,842</b>                      | <b>14,158,262</b>                             | <b>3,012,738</b>            | <b>17,171,000</b>                         | <b>0</b>                         |
| <b>Academic and Tech Ed. Scholarship</b> |                                       |   |                             |   |                                  |
| General Fund                             | 6,970,842                             | 14,158,262                                    | 3,012,738                   | 17,171,000                                | 0                                |
| Federal Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                            | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                             | <b>6,970,842</b>                      | <b>14,158,262</b>                             | <b>3,012,738</b>            | <b>17,171,000</b>                         | <b>0</b>                         |
| <b>Total Expenditures</b>                | <b>34,462,633</b>                     | <b>44,252,392</b>                             | <b>3,324,138</b>            | <b>47,576,530</b>                         | <b>0</b>                         |
| <b>Funding Sources</b>                   |                                       |   |                             |   |                                  |
| <b>General Fund</b>                      |                                       |   |                             |   |                                  |
| <b>Total</b>                             | <b>37,574,985</b>                     | <b>48,248,633</b>                             | <b>3,137,605</b>            | <b>51,386,238</b>                         | <b>0</b>                         |

**REQUEST DETAIL BY PROGRAM**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: Student Grant Programs     |                                       | Reporting Level: 00-215-110-00-00-00-00000000 |                             |   |                                  |
|-------------------------------------|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                         | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Special Funds</b>                |                                       |   |                             |   |                                  |
| 340 Higher Ed Special Rev Fund 215F | 465,307                               | 465,307                                       | 0                           | 465,307                                   | 0                                |
| <b>Total</b>                        | <b>465,307</b>                        | <b>465,307</b>                                | <b>0</b>                    | <b>465,307</b>                            | <b>0</b>                         |
|                                     |                                       |   |                             |   |                                  |
| <b>Total Funding Sources</b>        | <b>38,040,292</b>                     | <b>48,713,940</b>                             | <b>3,137,605</b>            | <b>51,851,545</b>                         | <b>0</b>                         |

**CHANGE PACKAGE DETAIL**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: Student Grant Programs |          |     | Reporting Level: 00-215-110-00-00-00-00000000 |               |               |             |
|---------------------------------|----------|-----|---|---------------|---------------|-------------|
| Description                     | Priority | FTE | General Fund                                  | Federal Funds | Special Funds | Total Funds |

**Base Budget Changes****One Time Budget Changes**

|                                |      |             |   |   |             |
|--------------------------------|------|-------------|---|---|-------------|
| A-E 6 Remove 2011-13 Carryover | 0.00 | (5,756,395) | 0 | 0 | (5,756,395) |
|--------------------------------|------|-------------|---|---|-------------|

|                                      |             |                    |          |          |                    |
|--------------------------------------|-------------|--------------------|----------|----------|--------------------|
| <b>Total One Time Budget Changes</b> | <b>0.00</b> | <b>(5,756,395)</b> | <b>0</b> | <b>0</b> | <b>(5,756,395)</b> |
|--------------------------------------|-------------|--------------------|----------|----------|--------------------|

**Ongoing Budget Changes**

|                              |      |           |   |   |           |
|------------------------------|------|-----------|---|---|-----------|
| A-A 12 Student Financial Aid | 0.00 | 8,894,000 | 0 | 0 | 8,894,000 |
|------------------------------|------|-----------|---|---|-----------|

|                                     |             |                  |          |          |                  |
|-------------------------------------|-------------|------------------|----------|----------|------------------|
| <b>Total Ongoing Budget Changes</b> | <b>0.00</b> | <b>8,894,000</b> | <b>0</b> | <b>0</b> | <b>8,894,000</b> |
|-------------------------------------|-------------|------------------|----------|----------|------------------|

|                                  |             |                  |          |          |                  |
|----------------------------------|-------------|------------------|----------|----------|------------------|
| <b>Total Base Budget Changes</b> | <b>0.00</b> | <b>3,137,605</b> | <b>0</b> | <b>0</b> | <b>3,137,605</b> |
|----------------------------------|-------------|------------------|----------|----------|------------------|

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** System Grant Programs**Reporting level:** 00-215-120-00-00-00-00000000**Program Performance Measures**

NDUS has published annual accountability measures reports, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, which served as the basis for the Board's previous strategic plan, the report has evolved from a written annual report to an online report. A wrap-up report is being compiled in anticipation of the launch of the Board's 2015-2020 strategic plan. Future reporting on the new strategic plan and accountability is expected to not only be online but also in a contemporary, interactive format. The information may include information on graduation and retention rates, enrollment, peer comparisons, financial aid and tuition, degrees awarded and composite financial index information for the 11 campuses, although final measures have not yet been determined.

**Program Statistical Data****TITLE II:**

During 12-13, the following campuses were funded from Award 367B100049 (\$362,084.00): \$92,962.82 went to Minot State University, \$15,117.33 went to Valley City State University, \$14,260.18 went to North Dakota State University, \$21,180.87 went to Mayville State University and \$40,894.83 went to Dickinson State University. In addition, \$2,662.69 was paid to the Department of Public Instruction for administration fees; the balance of \$181,523.46 was expended in 11-12. Also in 12-13, the following campuses were funded from Award S367B110049 (\$300,790.00): \$50,450.78 went to Mayville State University, \$123,683.26 went to Minot State University, \$30,012.50 went to Valley City State University, \$9,639.79 went to North Dakota State University and \$34,987.11 went to Dickinson State University and \$5,798.17 went to Department of Public Instruction for administration fees.

During 13-14, the following campuses were funded from Award S367B110049 (\$300,790.00): \$15,606.70 went to North Dakota State University, \$20,056.98 went to Minot State University, \$6,885.27 went to Mayville State University, and \$3,669.44 went to Department of Public Instruction for administration fees. Also in 13-14, the following campuses were funded from Award S367B120049 (\$299,468.00): \$16,739.31 went to Valley City State University, \$6,972.22 went to Mayville State University, \$198,836.53 went to Minot State University, \$73,659.44 went to Dickinson State University and \$3,260.50 went to Department of Public Instruction for administration fees, the grant was finalized in 13-14. Also during 13-14 the following campuses were funded from Award S367B130049-13A (\$286,405.00): \$2,551.49 went to University of North Dakota, \$15,538.60 went to North Dakota State University, \$9,176.78 went to Minot State University, \$31,420.06 went to Dickinson State University and \$5,969.26 went to Department of Public Instruction for Administrative fees. The balance is expected to be expended as projects progress. One other award, S367B140049 has been awarded in the amount of \$74,040.00, respectively, but has not been obligated to any projects to date

**EPSCoR:**

ND EPSCoR uses state-appropriated funds primarily to leverage competitive research and development of EPSCoR grants from federal agencies, in order to further develop and expand the state's Science, Technology, Engineering and Mathematics (STEM) research infrastructure. To a lesser extent, ND EPSCoR leverages private-sector funds that facilitate the transfer of university research to the private sector. The ability to build research infrastructure in the state is dependent upon the ability of ND EPSCoR to compete for the federal EPSCoR programs, and it is limited by the availability of state funds that can be used as match toward these programs.

North Dakota EPSCoR currently participates in EPSCoR programs affiliated with the National Science Foundation (NSF), the National Institutes of Health (NIH), the National Aeronautics and Space Administration (NASA), the Environmental Protection Agency (EPA), the Department of Energy (DOE), and the Department of Defense (DoD) to implement a multi-faceted infrastructure development plan that:

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- Increases the number of scientists and engineers engaged in research of national significance, who will then be able to compete effectively for merit-based external grants and contracts;
- Improves the productivity of these researchers as determined by standard benchmarks (e.g., number of grants submitted to external agencies, papers published in refereed journals, and M.S. and Ph.D. graduates in STEM areas);
- Increases the number of students involved in STEM research, particularly members of underrepresented groups (e.g., low income, first-generation college, women, American Indians);
- Fosters university-private sector partnerships and promotes the transfer of university research to the commercial sector.

North Dakota EPSCoR programs are open to all NDUS faculty and students. Many programs, including elements of the NIH program, are aimed specifically at developing student-centered research opportunities at four-year comprehensive and liberal arts universities and the tribal colleges.

The effectiveness of the ND EPSCoR development plan is best indicated by the ability of EPSCoR-supported researchers to compete for external awards:

- For the period from 2008-2013, the investment of \$16.925M in appropriated funds to ND EPSCoR has resulted in \$93.4M in extramural principal investigator grants.
- Over the life of ND EPSCoR, 1986-2013, the state's investment of \$46.61M has resulted in over \$351M in merit-based extramural grants—an 7.54:1 ***Return on Investment***.
- ND EPSCoR has provided research opportunities for over 350 students since 2008. Many of the students report that these “hands-on” experiences made them more “job ready,” as well as more competitive for positions after graduation.
- Six agencies have partnered with ND EPSCoR: NSF, NIH, NASA, EPA, DOE, and DoD.

**STUDENT MENTAL HEALTH INITIATIVE:**

Research highlights:

- Research indicates there has been an increase in the number of students experiencing depression and other mental health concerns.
- Campus tragedies, such as the shootings at Virginia Tech and Northern Illinois, among others, have turned the spotlight on the mental health treatment services provided by the nation's colleges and universities. By not providing services on-campus to students, or at least attempting to connect students to resources, it is an increased legal liability to the institution if a tragedy should occur.
- Campus counseling centers across the country are seeing an increase in students with more severe psychological problems and in the number of students already taking medication.
- Research shows that the majority of students who complete suicide never receive counseling services; approximately 81% of completed college-student suicides were never seen by their campus mental health provider. When students do receive counseling, they are six times less likely to complete suicide.

Since activation in October 2013, the Mental Health Task Force has made the following major accomplishments:

- NDUS staff member assigned to mental health issues.

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- Increased collaboration and partnership with other state agencies working to address mental health issues (i.e. Department of Human Services, CAWS, etc.).
- Training of three additional state MHFA instructors.
- Development of NDUS Procedure 514: Behavioral Intervention Teams, requiring each NDUS institution to have such a team.
- Behavioral Intervention Team training for all eleven NDUS campus teams.
- Contract with FirstLink (211) for after-hours crisis intervention.
- Advocacy of legislative funding for a full time employee to provide counseling services at Dickinson State University, the only NDUS campus without a mental health provider; additional funding to continue after-hours crisis intervention and accommodate change in pricing; funding for a part time graduate student to work on NDUS mental health issues.
- Identification of three possible psychiatric services providers; current NDUS technology which may meet the need of telemedicine; identification of technology needs of each NDUS to implement psychiatric services through the use of telemedicine.

**Explanation of Program Costs**

**TITLE II:** Federal funding authority is requested at the same level as the adjusted 2013-15 appropriation, or \$1,006,472.

**EPSCoR:** The 2015-17 general fund request of \$12,050,000 includes a \$5 million requested increase over the current funding level of \$7.05 million. To be competitive for National Science Foundation EPSCoR funds, requires a dollar for dollar state cash commitment. The state funds are passed through to UND and NDSU to provide the match. UND and NDSU receive the federal funds directly and therefore, the federal funds are not included in the NDUS Office budget request. This level of research matching funds will generate an additional (estimated) \$30.2M in non-state funding from the National Science Foundation (NSF) and other federal agencies. Details regarding the \$5 million increase is included in budget change code **AC11**.

**STUDENT MENTAL HEALTH INITIATIVE:** The 2015-17 general fund request of \$360,000 request includes a \$77,480 requested increase over the current funding level of \$282,520. **(See budget change code AC12 for details)**

**DEFERRED MAINTENANCE POOL:** The \$20 million deferred maintenance pool would be allocated to the campuses to partially address the estimated \$800 million in deferred maintenance. **(See budget change code AD6 for details)**

**OPEN EDUCATIONAL RESOURCES INITIATIVE:** The 2015-17 budget request includes \$500,000 one-time funds to implement a system-wide Open Educational Resources initiative throughout the NDUS **(See budget change code AD12 for details)**

**COLLEGE AND CAREER READINESS INITIATIVE:** The 2015-17 budget request includes \$752,840 base funding for the development of Resource Centers for College and Career Readiness at the NDUS's Five Community Colleges—with faculty development to enable each of the 5 community colleges to adopt and competently use Pearson platform (My Foundations Labs in Math and English plus elements that enable interventions for students not progressing at expected rates) to serve students who leave high school, or who arrive as adults with needs for remedial instruction in Math and English; includes professional development in the adoption of best practices in remediation of first-time, full-time college freshmen and adults. Centers may include GED and ABE assistance, testing, etc **(See budget change code AC14 for details)**

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**DIVERSITY EDUCATION AWARENESS:** The 2015-17 budget request includes \$100,000 base funding for diversity education and awareness. **(See budget change code AD12 for details)**

**Program Goals and Objectives**

**TITLE II:** A federally funded program to improve the quality of teaching and instruction in math and science in the United States. The NDUS Office distributes the federal funds on a competitive basis to institutions of higher education and organizations to provide services to elementary and secondary teachers to improve the teaching-learning process in mathematics and science. The ultimate goal is to improve both pedagogy and student performance.

**EPSCoR:** EPSCoR (Experimental Program to Stimulate Competitive Research) was created in 1979 in response to Congressional criticism that NSF was not fulfilling a requirement of its original legislation to strengthen scientific research throughout the country. The purpose of EPSCoR is to improve research in states that have historically fared poorly in their efforts to attain federal funding. Funded through federal-state-private sector partnerships, ND EPSCoR manages a comprehensive research development plan that involves the following:

- Infrastructure Improvement Programs
- Science Outreach and Recruitment Programs
- Technology Transfer and Commercialization Programs
- Tribal Colleges Programs

The goals of ND EPSCoR are consistent with those of the NDUS Roundtable Report, and the multi-faceted infrastructure development plan outlined above and collectively addresses the six cornerstones of the Roundtable. Specifically, with respect to Cornerstone 1: The Economic Development Connection, the Roundtable Report states "The research foundation on which entrepreneurial activity will necessarily be based must be expanded...To this end, the state should maximize the opportunities of EPSCoR funding available through Congress to assist in developing research and technology infrastructure..." The ND EPSCoR goal is to obtain federal grants to enhance research infrastructure using state match to leverage federal dollars. Reduction in state cash commitment would result in ineligibility for applying for federal funds and the reduction of a number of key infrastructure programs.

Additional details can be found at [www.ndepscor.nodak.edu](http://www.ndepscor.nodak.edu)

**STUDENT MENTAL HEALTH INITIATIVE:** The Mental Health Task Force (MHTF) is a sub-committee of the Student Affairs Council gathered to implement four mental health recommendations that were funded during the 2012 legislative session. The recommendations funded are as follows:

1. After Hours Crisis Intervention and Emergency Services. Each North Dakota University System (NDUS) campus should utilize an on-call after-hours mental health system to extend the availability of mental health services to students beyond normal business hours. Funding: \$11,520 ongoing.
2. Education and Training: Mental Health First Aid. Mental health First Aid (MHFA) is a 12-hour training course designed to train participants on important skills to help someone who is developing a mental health problem or experiencing mental health crisis. Funding: \$28,000 one-time.
3. Behavioral Intervention Teams. The North Dakota University System (NDUS) should create state-wide guidelines for the creation, training, and functioning of students of concern committees/behavioral intervention teams. All system campuses should have such a team. Funding: \$13,000 one-time.
4. Psychiatric Services. Each NDUS campus should have an on-campus psychiatrist available at least once a month, with the hours available varying from four to eight hours on a visit day depending upon need. Telemedicine or an online model of psychiatric support should be considered for ongoing services beyond the recommended minimum one day on-campus availability. Funding: \$230,000 ongoing.

Following the successful implementation of the above recommendations, the MHTF wishes to continue to convene as a NDUS Mental Health Consortium. Research indicates that mental health concerns negatively affect the academic success of students, and that counseling can lead to improved academic success and retention of

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students.<sup>1</sup> The Mental Health Consortium would like to continue to work towards addressing North Dakota's current issue of inadequate mental health services to ensure that the needs of students are being properly addressed

**DEFERRED MAINTENANCE POOL:** To address funding needed to maintain state buildings and infrastructure by funding a portion of the \$800 million outstanding deferred maintenance.

**OPEN EDUCATIONAL RESOURCES INITIATIVE:** To help alleviate the burden of textbook costs and reduce the cost of attendance. By replacing traditional textbooks with open textbooks and open educational resources, the cost of attendance will be reduced without impacting the budget of the college or university.

**COLLEGE AND CAREER READINESS INITIATIVE:** To develop Resource Centers for College Career Readiness at the NDUS 2-year campuses.

**DIVERSITY EDUCATION AWARENESS:** To fund efforts to address the North Dakota University System's commitment to diversity education and awareness.

# REQUEST DETAIL BY PROGRAM

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

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| Program: System Grant Programs          |                                       | Reporting Level: 00-215-120-00-00-00-00000000 |                             |   |                                  |
|---|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                             | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Deferred Maintenance Pool</b>        |                                       |   |                             |   |                                  |
| Extraordinary Repairs                   | 0                                     | 0   | 0                           | 0   | 20,000,000                       |
| <b>Total</b>                            | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>20,000,000</b>                |
| <b>Deferred Maintenance Pool</b>        |                                       |   |                             |   |                                  |
| General Fund                            | 0                                     | 0   | 0                           | 0   | 20,000,000                       |
| Federal Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                            | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>20,000,000</b>                |
| <b>Title II Grants</b>                  |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims               | 960,254                               | 1,006,472                                     | 0                           | 1,006,472                                 | 0                                |
| <b>Total</b>                            | <b>960,254</b>                        | <b>1,006,472</b>                              | <b>0</b>                    | <b>1,006,472</b>                          | <b>0</b>                         |
| <b>Title II Grants</b>                  |                                       |   |                             |   |                                  |
| General Fund                            | 0                                     | 0   | 0                           | 0   | 0                                |
| Federal Funds                           | 960,254                               | 1,006,472                                     | 0                           | 1,006,472                                 | 0                                |
| Special Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                            | <b>960,254</b>                        | <b>1,006,472</b>                              | <b>0</b>                    | <b>1,006,472</b>                          | <b>0</b>                         |
| <b>Education Challenge Fund</b>         |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims               | 0                                     | 29,000,000                                    | (29,000,000)                | 0   | 0                                |
| <b>Total</b>                            | <b>0</b>                              | <b>29,000,000</b>                             | <b>(29,000,000)</b>         | <b>0</b>                                  | <b>0</b>                         |
| <b>Education Challenge Fund</b>         |                                       |   |                             |   |                                  |
| General Fund                            | 0                                     | 29,000,000                                    | (29,000,000)                | 0   | 0                                |
| Federal Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                            | <b>0</b>                              | <b>29,000,000</b>                             | <b>(29,000,000)</b>         | <b>0</b>                                  | <b>0</b>                         |
| <b>Professional Liability Insurance</b> |                                       |   |                             |   |                                  |
| Operating Fees and Services             | 800,000                               | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                            | <b>800,000</b>                        | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>0</b>                         |
| <b>Professional Liability Insurance</b> |                                       |   |                             |   |                                  |
| General Fund                            | 800,000                               | 0   | 0                           | 0   | 0                                |
| Federal Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                           | 0                                     | 0   | 0                           | 0   | 0                                |

# REQUEST DETAIL BY PROGRAM

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Biennium: 2015-2017

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| Program: System Grant Programs      |                                       | Reporting Level: 00-215-120-00-00-00-00000000 |                             |   |                                  |
|-------------------------------------|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                         | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Total</b>                        | <b>800,000</b>                        | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>0</b>                         |
| <b>Performance Funding</b>          |                                       |   |                             |   |                                  |
| Operating Fees and Services         | 0                                     | 5,000,000                                     | (5,000,000)                 | 0   | 0                                |
| <b>Total</b>                        | <b>0</b>                              | <b>5,000,000</b>                              | <b>(5,000,000)</b>          | <b>0</b>                                  | <b>0</b>                         |
| <b>Performance Funding</b>          |                                       |   |                             |   |                                  |
| General Fund                        | 0                                     | 5,000,000                                     | (5,000,000)                 | 0   | 0                                |
| Federal Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                        | <b>0</b>                              | <b>5,000,000</b>                              | <b>(5,000,000)</b>          | <b>0</b>                                  | <b>0</b>                         |
| <b>Student Mental Health</b>        |                                       |   |                             |   |                                  |
| Operating Fees and Services         | 0                                     | 282,520                                       | 0                           | 282,520                                   | 77,480                           |
| <b>Total</b>                        | <b>0</b>                              | <b>282,520</b>                                | <b>0</b>                    | <b>282,520</b>                            | <b>77,480</b>                    |
| <b>Student Mental Health</b>        |                                       |   |                             |   |                                  |
| General Fund                        | 0                                     | 282,520                                       | 0                           | 282,520                                   | 77,480                           |
| Federal Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                        | <b>0</b>                              | <b>282,520</b>                                | <b>0</b>                    | <b>282,520</b>                            | <b>77,480</b>                    |
| <b>Competitive Research Program</b> |                                       |   |                             |   |                                  |
| Grants, Benefits & Claims           | 7,050,000                             | 7,050,000                                     | 0                           | 7,050,000                                 | 5,000,000                        |
| <b>Total</b>                        | <b>7,050,000</b>                      | <b>7,050,000</b>                              | <b>0</b>                    | <b>7,050,000</b>                          | <b>5,000,000</b>                 |
| <b>Competitive Research Program</b> |                                       |   |                             |   |                                  |
| General Fund                        | 7,050,000                             | 7,050,000                                     | 0                           | 7,050,000                                 | 5,000,000                        |
| Federal Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                       | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                        | <b>7,050,000</b>                      | <b>7,050,000</b>                              | <b>0</b>                    | <b>7,050,000</b>                          | <b>5,000,000</b>                 |
| <b>Capital Projects Contingency</b> |                                       |   |                             |   |                                  |
| Other Capital Payments              | 0                                     | 2,345,082                                     | (2,345,082)                 | 0   | 0                                |
| <b>Total</b>                        | <b>0</b>                              | <b>2,345,082</b>                              | <b>(2,345,082)</b>          | <b>0</b>                                  | <b>0</b>                         |
| <b>Capital Projects Contingency</b> |                                       |   |                             |   |                                  |
| General Fund                        | 0                                     | 2,345,082                                     | (2,345,082)                 | 0   | 0                                |

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| Program: System Grant Programs                 |                                       | Reporting Level: 00-215-120-00-00-00-00000000 |                             |   |                                  |
|--|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                                    | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| Federal Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                   | <b>0</b>                              | <b>2,345,082</b>                              | <b>(2,345,082)</b>          | <b>0</b>                                  | <b>0</b>                         |
| <b>Compensation Pool</b>                       |                                       |   |                             |   |                                  |
| Operating Fees and Services                    | 0                                     | 0   | 0                           | 0   | 12,620,000                       |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>12,620,000</b>                |
| <b>Compensation Pool</b>                       |                                       |   |                             |   |                                  |
| General Fund                                   | 0                                     | 0   | 0                           | 0   | 12,620,000                       |
| Federal Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>12,620,000</b>                |
| <b>Open Educational Resources Initiative</b>   |                                       |   |                             |   |                                  |
| Operating Fees and Services                    | 0                                     | 0   | 0                           | 0   | 500,000                          |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>500,000</b>                   |
| <b>Open Educational Resources Initiative</b>   |                                       |   |                             |   |                                  |
| General Fund                                   | 0                                     | 0   | 0                           | 0   | 500,000                          |
| Federal Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>500,000</b>                   |
| <b>College and Career Readiness Initiative</b> |                                       |   |                             |   |                                  |
| Operating Fees and Services                    | 0                                     | 0   | 0                           | 0   | 752,840                          |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>752,840</b>                   |
| <b>College and Career Readiness Initiative</b> |                                       |   |                             |   |                                  |
| General Fund                                   | 0                                     | 0   | 0                           | 0   | 752,840                          |
| Federal Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                  | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                   | <b>0</b>                              | <b>0</b>                                      | <b>0</b>                    | <b>0</b>                                  | <b>752,840</b>                   |
| <b>Total Expenditures</b>                      | <b>8,810,254</b>                      | <b>44,684,074</b>                             | <b>(36,345,082)</b>         | <b>8,338,992</b>                          | <b>38,950,320</b>                |

## Funding Sources

**REQUEST DETAIL BY PROGRAM**

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Biennium: 2015-2017

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Date: 12/23/2014

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| Program: System Grant Programs |                                       | Reporting Level: 00-215-120-00-00-00-00000000 |                             |   |                                  |
|--------------------------------|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                    | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>General Fund</b>            |                                       |   |                             |   |                                  |
| <b>Total</b>                   | <b>7,850,000</b>                      | <b>43,677,602</b>                             | <b>(36,345,082)</b>         | <b>7,332,520</b>                          | <b>39,050,320</b>                |
| <b>Federal Funds</b>           |                                       |   |                             |   |                                  |
| E002 Title II                  | 960,254                               | 1,006,472                                     | 0                           | 1,006,472                                 | 0                                |
| <b>Total</b>                   | <b>960,254</b>                        | <b>1,006,472</b>                              | <b>0</b>                    | <b>1,006,472</b>                          | <b>0</b>                         |
| <b>Total Funding Sources</b>   | <b>8,810,254</b>                      | <b>44,684,074</b>                             | <b>(36,345,082)</b>         | <b>8,338,992</b>                          | <b>39,050,320</b>                |

**CHANGE PACKAGE DETAIL**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Grant Programs |          |     | Reporting Level: 00-215-120-00-00-00-00000000 |               |               |             |
|--------------------------------|----------|-----|---|---------------|---------------|-------------|
| Description                    | Priority | FTE | General Fund                                  | Federal Funds | Special Funds | Total Funds |

**Base Budget Changes****One Time Budget Changes**

|  |  |             |                     |          |          |                     |
|--|--|-------------|---------------------|----------|----------|---------------------|
| A-E 2 Remove Capital Projects Contingency Pool |  | 0.00        | (2,345,082)         | 0        | 0        | (2,345,082)         |
| A-E 4 Remove Other One Time Funding            |  | 0.00        | (34,000,000)        | 0        | 0        | (34,000,000)        |
| <b>Total One Time Budget Changes</b>           |  | <b>0.00</b> | <b>(36,345,082)</b> | <b>0</b> | <b>0</b> | <b>(36,345,082)</b> |

**Ongoing Budget Changes**

|                                     |  |             |          |          |          |          |
|-------------------------------------|--|-------------|----------|----------|----------|----------|
| <b>Total Ongoing Budget Changes</b> |  | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
|-------------------------------------|--|-------------|----------|----------|----------|----------|

**Total Base Budget Changes**

|  |  |             |                     |          |          |                     |
|--|--|-------------|---------------------|----------|----------|---------------------|
|  |  | <b>0.00</b> | <b>(36,345,082)</b> | <b>0</b> | <b>0</b> | <b>(36,345,082)</b> |
|--|--|-------------|---------------------|----------|----------|---------------------|

**Optional Budget Changes****One Time Optional Changes**

|  |   |             |                   |          |          |                   |
|--|---|-------------|-------------------|----------|----------|-------------------|
| A-D 100 Compensation Pool                    | 3 | 0.00        | 12,620,000        | 0        | 0        | 12,620,000        |
| A-D 6 Deferred Maintenance Pool              | 5 | 0.00        | 20,000,000        | 0        | 0        | 20,000,000        |
| A-D 12 Open Educational Resources Initiative | 6 | 0.00        | 500,000           | 0        | 0        | 500,000           |
| <b>Total One Time Optional Changes</b>       |   | <b>0.00</b> | <b>33,120,000</b> | <b>0</b> | <b>0</b> | <b>33,120,000</b> |

**Ongoing Optional Changes**

|  |   |             |                  |          |          |                  |
|--|---|-------------|------------------|----------|----------|------------------|
| A-C 11 EPSCoR                                    | 2 | 0.00        | 5,000,000        | 0        | 0        | 5,000,000        |
| A-C 12 Student Mental Health Service Enhancement | 3 | 0.00        | 77,480           | 0        | 0        | 77,480           |
| A-C 14 College-Career Readiness Initiative       | 5 | 0.00        | 752,840          | 0        | 0        | 752,840          |
| A-C 15 Diversity Education and Awareness         | 6 | 0.00        | 100,000          | 0        | 0        | 100,000          |
| <b>Total Ongoing Optional Changes</b>            |   | <b>0.00</b> | <b>5,930,320</b> | <b>0</b> | <b>0</b> | <b>5,930,320</b> |

**Total Optional Budget Changes**

|  |  |             |                   |          |          |                   |
|--|--|-------------|-------------------|----------|----------|-------------------|
|  |  | <b>0.00</b> | <b>39,050,320</b> | <b>0</b> | <b>0</b> | <b>39,050,320</b> |
|--|--|-------------|-------------------|----------|----------|-------------------|

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** System Projects**Reporting level:** 00-215-130-00-00-00-00000000**Program Performance Measures**

NDUS has published annual accountability measures reports, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, which served as the basis for the Board's previous strategic plan, the report has evolved from a written annual report to an online report. A wrap-up report is being compiled in anticipation of the launch of the Board's 2015-2020 strategic plan. Future reporting on the new strategic plan and accountability is expected to not only be online but also in a contemporary, interactive format. The information may include information on graduation and retention rates, enrollment, peer comparisons, financial aid and tuition, degrees awarded and composite financial index information for the 11 campuses, although final measures have not yet been determined.

**Program Statistical Data**

**CAPITAL BOND PAYMENTS:** This appropriation provides payments for bond indebtedness for the campuses.

**CORE TECHNOLOGY SERVICES (CTS):** NDUS CTS provides programs and services to all institutions of the NDUS, fortifying students, faculty and staff through strong links to evolving technologies and information. The eleven institutions in the NDUS share networking, academic, administrative, and general computing services, video/audio/web conferencing, collaboration technologies, and learning management software provided through CTS. They also share library services through the Online Dakota Information Network (ODIN) and links to online courses through the NDUS-Online. In cooperation with the State CIO and ETC Director, the NDUS CIO will be submitting the NDUS portion of the *State IT Plan* later this year, and it will also address the long-term strategic IT goals, objectives and activities of SITS, as well as the campus alignment plans.

**TWO-YEAR CAMPUS MARKETING:** Awareness levels are measured by conducting research, and the initiative's effectiveness will be measured, in part, by an awareness survey at the end of the biennium. Throughout the campaign, the ad agency and PAC members are using a variety of dashboard indicators to monitor whether the messages are reaching the target audiences and making an impact. Comprehensive website analytics monitor pathways by which people reach the site, indicating which online ads and messages are garnering the most interest.

Activity on the site also gauges interest through:

- Time spent on the site
- Interaction with the map
- Clicks on the program listings
- Video views

The awareness survey conducted at the end of the first biennium examined the following criteria:

- Top of mind awareness
- Overall view of community colleges
- Convincing someone to enroll at a community college
- Perception of community college and
- Post-secondary plans

When comparing 2009 to 2011, awareness and perception levels shifted slightly, but continuing a clear, consistent message over a longer timeframe is expected to yield more substantial, positive change. An awareness and perception survey will be conducted in Fall 2014. Current real-time indicators show that the initiative is having an

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impact and North Dakotans are learning more about community college paths. The program is building momentum and should be continued for another biennium to allow for the marketing efforts to produce an even more significant impact.

**Explanation of Program Costs****CAPITAL BOND PAYMENTS:**

The amount included in the budget request for capital bond payments was provided by the Industrial Commission, for scheduled payments during the 2015-17 biennium.

**Total = \$8,368,836 (All GF)**

**CORE TECHNOLOGY SERVICES (CTS):**

The total 2015-17 budget request for CTS equals \$50,205,608 (\$49,666,171 GF and \$539,437 SF). This consists of 2013-15 adjusted general fund base funding for the CTS operations, totaling \$38,282,673, plus a general fund increases totaling \$11,543,057 (\$1,027,257 base, \$6,439,300 optional base and \$4,076,500 one-time), less 2011-13 carryover of \$159,559. The general funds include salaries and fringe benefits support for 78.39 FTE.

Base budget general fund increases, totaling \$1,027,257, are detailed in change code **AA1**. Optional base budget requested increases, totaling \$6,439,300, are detailed in budget change code **AC10** and one-time requests, totaling \$4,076,500 are detailed in budget change code **AD10**.

The Student Loan Trust Fund (SLTF) currently provides \$539,437 for partial funding of 6 ConnectND Campus Solutions positions which have been supported since about 1993 by either the Bank of ND or the SLTF. The same level of funding is requested from the SLTF in 2015-17, as is funded for the current 2013-15 biennium. Three positions are business analysts who provide end-user support and training for the student information system (Campus Solutions). The other three positions are programmer/analyst positions who help maintain, and implement or develop functionality for, Campus Solutions, especially in the financial aid area. These positions represent 30% of the Campus Solutions business analysts and approximately 20% of the Campus Solutions programmer/analysts. All six positions are critical to the provision of services to NDUS campuses by ConnectND

In addition to the funding from the general fund and Student Loan Trust Fund, the CTS budget will be supported by approximately \$20.9 million other funds during the 2015-17 biennium. Consistent with past practice, these funds have not been included in the 2015-17 request, nor specifically appropriated, but instead are appropriated with broad legislative authorization regarding federal, private and other sources (see SB2003, Section 34). Of the \$21.4 million, approximately \$10.1 million is from the ConnectND fee, which covers significant parts of the operational costs of ConnectND; \$7.6 million from charges to UND for provision of IT services for its campus; \$900,000 from assessments of NDUS institutions to support the student system in ConnectND; approximately \$2.1 million from charges to ODIN libraries for maintenance and enhancement of ODIN and access to electronic databases and subscriptions; and \$220,000 from video and audio conference services provided to non-NDUS entities. The other funds currently support an additional 58.6 FTE.

**TWO YEAR CAMPUS MARKETING:**

\$800,000 in funding was approved by the 2009 Legislative Assembly and incorporated into SB 2003 as base funding for the Community College Awareness Initiative (CCAI) to build awareness and enhance the image of North Dakota University System community colleges. This was continued as base funding in the 2011-13 and 2013-15 biennia. Based on research findings, the NDUS Public Affairs Council (PAC) members and an ad agency developed the overall campaign plan, taking into consideration the best tools for communicating with specific target audiences. PAC members and the agency regularly discuss marketing strategies to ensure the best return on investment over the biennium. This initiative has engaged traditional-age students, parents and family members, adult learners, and the public across North Dakota by using the following specific strategies developed through research with these diverse groups.

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Statewide media:

- Television ads
- Print ads
- Online ads

Website (landing page):

- Interactive map (browse programs by career cluster or by location)
- Links (to the five college websites, the North Dakota University System website, the ND Academic and CTE Scholarship, and the TV spots)

**MASTER PLAN AND SPACE UTILIZATION STUDY:**

NDUS received one-time funding of \$1.0 million in the 2013-15 biennium, to hire an external consultant to complete a system-wide campus facility master plan, including a space utilization study, to the extent funds are available. The 2015-17 one-time funding of \$750,000 would be utilized for consulting/professional planning services for the continuation of the deferred maintenance, space utilization and space inventory evaluation initiated in 2013-15. Further details are included in budget change package **AD11**.

**Program Goals and Objectives**

**CAPITAL BOND PAYMENTS:** To provide payments for bond indebtedness for the campuses of the NDUS.

**CORE TECHNOLOGY SERVICES (CTS):** The North Dakota University System is committed to using current technology to create an enhanced human environment, positioning itself to adopt and implement future technologies for the benefit of NDUS students and employees and then citizens of the State of North Dakota.

Core Technology Services (CTS) plans, integrates, coordinates, and supports the system-wide delivery of technology-based resources, services, and solutions to NDUS institutions, students, faculty, staff, the System Office, and North Dakota residents by leveraging current technologies, researching new technologies, and by positioning the University System to innovate and use future technologies. CTS provides secure information management and technology services to the North Dakota University System linking academic and business services with the NDUS community, connecting users to the information and educational resources they need to accomplish their goals.

**CTS DEPARTMENTS AND REPORTING INCLUDE:**

While the CIO has direct responsibility and oversight of the CTS organization, the Deputy CIO has responsibility for the day-to-day operations of the organization.

**CIO Direct Reports** - The CTS organization structure reporting directly to the CIO includes:

- Assistant to the CIO – Includes: Project/Portfolio Management, IT Planning Coordination, Large Project Oversight and Reporting, Enterprise Architecture Administration Oversight, and Grand Forks Site Facilities Management.
- Assistant CIO/Fargo Site Manager – Administrative Services, Application Access Control, Fargo Facilities Management, Financial Services, and Personnel Services.
- Assistant CIO for ARLT/External Relations – Software Licensing Administration, ODIN Library Services, NDUS Help Desk/Service Management, NDUS IT Security Officer, Special Projects, Strategic Partnerships, and Vendor Relations.

**Deputy CIO Direct Reports** - The CTS organization structure reporting to the Deputy CIO who in turn reports directly to the CIO includes:

- Director, Enterprise Services – Applications and Development – consists of the Connect North Dakota (CND) Campus Solutions Developers, Enterprise Application Administration, and Enterprise Development.
- Director, Infrastructure and Operations – consists of Data Base Administration, Data Center, High Performance Computing, Integrated Services/Telecom, Network Services, IT Security, and Server Administration.

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- Director, User Services – consists of CTS Desktop Support, Foundational/Emerging Technologies, Instructional Services, Integrated Systems, System Office Support, and Web Communications.
- Director, Financials & HRMS – ConnectND Financials and HRMS Business Analysts and Development.
- Director, Student Information Systems – ConnectND Campus Solutions and third-party systems Business Analysts.

**Data Center** - The North Dakota University System Data Center, located at the University of North Dakota campus, is the primary hosting site for NDUS enterprise systems. It was built to Tier 3 specifications meaning that it has redundancy built into critical electrical and mechanical support systems and has the ability to continue operations while repairs or maintenance is being performed on portions of the support systems. With this level of redundancy built into the systems, failure of one component would not affect the data center's ability to continue operations as the redundant system would take over the full load whether it were electrical or mechanical in nature.

North Dakota University System Online (NDUSO) is an internet-based system-wide collaboration for the delivery of collaborative course/certificates/programs. Because of its significant use of information technologies it is considered an information technology area reporting to the Vice Chancellor of Academic and Student Affairs. The funding for the Director of Distance Education and State Authorization is included in the CTS pool.

**CTS GOALS AND OBJECTIVES INCLUDE:**

**GOAL ONE:** To support North Dakota University System infrastructure needs.

Purpose: This goal is the foundation for Information Technology (IT) in support of NDUS business processes. Infrastructure holds information technology systems together and allows systems to communicate with each other over a network. It includes such things as security and access control for which guidelines must be developed and updated as needed. Enterprise Architecture provides a blueprint for establishing information technology policies, procedures, and guidelines to promote effective use of information technology.

Strategy Objectives:

1. Offer reliable, cost-effective and appropriate NDUS network services.
2. Provide tools and technologies to help people more easily use networked resources and services while ensuring security and privacy of the information.
3. Utilize the network infrastructure for the convergence of voice, data, and video along with other collaboration tools.
4. Enable libraries to provide easy access to licensed electronic information.
5. Provide leadership for IT enterprise architecture, project management, and service management.
6. Provide linkage through STAGEnet, Internet2, and other national and international research and development networks.

**GOAL TWO:** To improve North Dakota University System information technology-enabled business processes and services while providing and managing resources to align with strategic goals.

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**Purpose:** This goal is the core that supports business processes of the institutions and the North Dakota University System (NDUS). In order for institutions to remain competitive and offer information technology support for students, faculty and staff, including research and public service, the NDUS must provide and manage information technology resources alignment with NDUS strategic goals.

**Strategy Objectives:**

1. Maintain critical core functions and implement upgrades and enhancements to the student management, financial/human resources management, data warehouse, library, administrative, and academic technology systems.
2. Identify and integrate appropriate NDUS CTS systems to create operational effectiveness and efficiencies.
3. Provide enterprise project management, enterprise architecture administration, and IT planning.
4. Enhance educational experiences with new or re-purposed resources that expand user services, technologies, and initiatives.
5. Maximize IT infrastructure to improve services to students, faculty, staff, and the citizens of the state.
6. Hire, train, and retain highly competent professional staff to meet the needs NDUS services.

**GOAL THREE:** To improve and enhance North Dakota University System student learning and user's focus.

**Purpose:** Empower student learning and development through the use of technology by providing a near seamless environment for learning through boundless access to information, educational, and research resources both inside and outside the classroom for all types of students from undergraduates to life-long learners.

The NDUS encourages and supports an operational environment in which characteristics of its users – student, faculty, staff, North Dakota residents, and affiliates worldwide – are identified, their needs are understood, relationships and expectations are effectively managed, and quality assurance is fostered for high-quality services and support.

**Strategy Objectives:**

1. Leverage the IT infrastructure to create an environment for enhancing learning.
2. Enable collaboration among learners by providing easy, efficient, and reliable access to learning resources anytime and anywhere.
3. Evaluate enhancements to the Online Dakota Information Network's (ODIN) library systems and services to improve functionality that supports the evolving needs of users.
4. Continually improve standards, policies, procedures, and services that facilitate seamless, integrated learning.
5. Identify users' characteristics and respond to their expectations and needs.
6. Develop and implement a system-wide customer relationship management system in support of users' needs.
7. Continue to gather feedback from the NDUS user community on services provided by NDUS CTS.

**GOAL FOUR:** To improve and enhance North Dakota University System collaborative efforts.

**PROGRAM NARRATIVE****215 ND University System****Date:** 12/23/2014**Time:** 12:07:08**Program:** System Projects**Reporting level:** 00-215-130-00-00-00-00000000

Purpose: By working together with the state, K-12, and other constituents, the NDUS is able to bring new technologies to North Dakota and support existing ones.

Strategy Objectives:

1. Optimize helpdesk services within the NDUS community.
2. Continually improve communications with all stakeholders.
3. Collaborate with NDUS institutions, K-12, state/local governments, and libraries to identify appropriate administrative, learning, research support systems and converged services.
4. Leverage educational resources and IT systems to minimize barriers between institutions, libraries, and other sources of learning.
5. Expand virtual and digital holdings available within the Online Dakota Information Network (ODIN) libraries.
6. Promote Internet2 and research-level infrastructure.
7. Continue the integration of video, audio, and data collaborations in cross-platform environments.
8. Collaborate with business and industry to identify opportunities for innovations that enhance NDUS CTS systems and services.

**TWO YEAR CAMPUS MARKETING:** The goal of this initiative is to build awareness and enhance the image of North Dakota University System community colleges. Ultimately, the state's four-year universities also benefit from this initiative as do the residents of North Dakota, employers, and the state economy.

**MASTER PLAN AND SPACE UTILIZATION STUDY:** To complete a system-wide campus facility master plan, including a space utilization study.

# REQUEST DETAIL BY PROGRAM

215 ND University System  
Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Projects                         |                                       | Reporting Level: 00-215-130-00-00-00-00000000 |                             |   |                                  |
|--|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                                      | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| <b>Capital Assets</b>                            |                                       |   |                             |   |                                  |
| Other Capital Payments                           | 11,737,172                            | 10,436,045                                    | (2,067,209)                 | 8,368,836                                 | 0                                |
| <b>Total</b>                                     | <b>11,737,172</b>                     | <b>10,436,045</b>                             | <b>(2,067,209)</b>          | <b>8,368,836</b>                          | <b>0</b>                         |
| <b>Capital Assets</b>                            |                                       |   |                             |   |                                  |
| General Fund                                     | 11,737,172                            | 10,436,045                                    | (2,067,209)                 | 8,368,836                                 | 0                                |
| Federal Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                     | <b>11,737,172</b>                     | <b>10,436,045</b>                             | <b>(2,067,209)</b>          | <b>8,368,836</b>                          | <b>0</b>                         |
| <b>Master Plan &amp; Space Utilization Study</b> |                                       |   |                             |   |                                  |
| Operating Fees and Services                      | 0                                     | 1,000,000                                     | (1,000,000)                 | 0   | 750,000                          |
| <b>Total</b>                                     | <b>0</b>                              | <b>1,000,000</b>                              | <b>(1,000,000)</b>          | <b>0</b>                                  | <b>750,000</b>                   |
| <b>Master Plan &amp; Space Utilization Study</b> |                                       |   |                             |   |                                  |
| General Fund                                     | 0                                     | 1,000,000                                     | (1,000,000)                 | 0   | 750,000                          |
| Federal Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| <b>Total</b>                                     | <b>0</b>                              | <b>1,000,000</b>                              | <b>(1,000,000)</b>          | <b>0</b>                                  | <b>750,000</b>                   |
| <b>Core Technology Services</b>                  |                                       |   |                             |   |                                  |
| Operating Fees and Services                      | 18,243,703                            | 38,822,110                                    | 867,698                     | 39,689,808                                | 10,515,800                       |
| <b>Total</b>                                     | <b>18,243,703</b>                     | <b>38,822,110</b>                             | <b>867,698</b>              | <b>39,689,808</b>                         | <b>10,515,800</b>                |
| <b>Core Technology Services</b>                  |                                       |   |                             |   |                                  |
| General Fund                                     | 17,704,266                            | 38,282,673                                    | 867,698                     | 39,150,371                                | 10,515,800                       |
| Federal Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                    | 539,437                               | 539,437                                       | 0                           | 539,437                                   | 0                                |
| <b>Total</b>                                     | <b>18,243,703</b>                     | <b>38,822,110</b>                             | <b>867,698</b>              | <b>39,689,808</b>                         | <b>10,515,800</b>                |
| <b>Two Year Campus Marketing</b>                 |                                       |   |                             |   |                                  |
| Operating Fees and Services                      | 766,729                               | 833,271                                       | (33,271)                    | 800,000                                   | 0                                |
| <b>Total</b>                                     | <b>766,729</b>                        | <b>833,271</b>                                | <b>(33,271)</b>             | <b>800,000</b>                            | <b>0</b>                         |
| <b>Two Year Campus Marketing</b>                 |                                       |   |                             |   |                                  |
| General Fund                                     | 766,729                               | 833,271                                       | (33,271)                    | 800,000                                   | 0                                |
| Federal Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |
| Special Funds                                    | 0                                     | 0   | 0                           | 0   | 0                                |

**REQUEST DETAIL BY PROGRAM**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Projects            |                                       | Reporting Level: 00-215-130-00-00-00-00000000 |                             |   |                                  |
|-------------------------------------|---------------------------------------|---|-----------------------------|---|----------------------------------|
| Description                         | Expenditures<br>2011-2013<br>Biennium | Present<br>Budget<br>2013-2015                | Budget<br>Request<br>Change | Requested Budget<br>2015-2017<br>Biennium | Optional<br>Request<br>2015-2017 |
| Total                               | 766,729                               | 833,271                                       | (33,271)                    | 800,000                                   | 0                                |
| Total Expenditures                  | 30,747,604                            | 51,091,426                                    | (2,232,782)                 | 48,858,644                                | 11,265,800                       |
| Funding Sources                     |                                       |   |                             |   |                                  |
| General Fund                        |                                       |   |                             |   |                                  |
| Total                               | 30,208,167                            | 50,551,989                                    | (2,232,782)                 | 48,319,207                                | 11,265,800                       |
| Special Funds                       |                                       |   |                             |   |                                  |
| 340 Higher Ed Special Rev Fund 215F | 539,437                               | 539,437                                       | 0                           | 539,437                                   | 0                                |
| Total                               | 539,437                               | 539,437                                       | 0                           | 539,437                                   | 0                                |
| Total Funding Sources               | 30,747,604                            | 51,091,426                                    | (2,232,782)                 | 48,858,644                                | 11,265,800                       |
| FTE Employees                       | 79.27                                 | 78.39   | 0.00                        | 78.39                                     | 0.00                             |

**CHANGE PACKAGE DETAIL**

215 ND University System

Biennium: 2015-2017

Bill#: HB1003

Date: 12/23/2014

Time: 12:07:08

| Program: System Projects |          |     | Reporting Level: 00-215-130-00-00-00-00000000 |               |               |             |
|--------------------------|----------|-----|---|---------------|---------------|-------------|
| Description              | Priority | FTE | General Fund                                  | Federal Funds | Special Funds | Total Funds |

**Base Budget Changes****One Time Budget Changes**

|                                      |  |             |                    |          |          |                    |
|--------------------------------------|--|-------------|--------------------|----------|----------|--------------------|
| A-E 4 Remove Other One Time Funding  |  | 0.00        | (1,000,000)        | 0        | 0        | (1,000,000)        |
| A-E 6 Remove 2011-13 Carryover       |  | 0.00        | (192,830)          | 0        | 0        | (192,830)          |
| <b>Total One Time Budget Changes</b> |  | <b>0.00</b> | <b>(1,192,830)</b> | <b>0</b> | <b>0</b> | <b>(1,192,830)</b> |

**Ongoing Budget Changes**

|                                     |  |             |                    |          |          |                    |
|-------------------------------------|--|-------------|--------------------|----------|----------|--------------------|
| A-A 1 CTC and Operating Inflation   |  | 0.00        | 1,027,257          | 0        | 0        | 1,027,257          |
| A-A 10 Capital Bond Payment Base    |  | 0.00        | 8,368,836          | 0        | 0        | 8,368,836          |
| A-A 20 2013-15 Adjusted FTE         |  | 78.39       | 0                  | 0        | 0        | 0                  |
| A-F 1 Remove Funding Cap Bond Pmts  |  | 0.00        | (10,436,045)       | 0        | 0        | (10,436,045)       |
| Base Payroll Change                 |  | (78.39)     | 0                  | 0        | 0        | 0                  |
| <b>Total Ongoing Budget Changes</b> |  | <b>0.00</b> | <b>(1,039,952)</b> | <b>0</b> | <b>0</b> | <b>(1,039,952)</b> |
| <b>Total Base Budget Changes</b>    |  | <b>0.00</b> | <b>(2,232,782)</b> | <b>0</b> | <b>0</b> | <b>(2,232,782)</b> |

**Optional Budget Changes****One Time Optional Changes**

|  |   |             |                  |          |          |                  |
|--|---|-------------|------------------|----------|----------|------------------|
| A-D 10 Core Technology Services One Time Requests      | 2 | 0.00        | 4,076,500        | 0        | 0        | 4,076,500        |
| A-D 11 Deferred Maint, Space Utilization and Space Inv | 4 | 0.00        | 750,000          | 0        | 0        | 750,000          |
| <b>Total One Time Optional Changes</b>                 |   | <b>0.00</b> | <b>4,826,500</b> | <b>0</b> | <b>0</b> | <b>4,826,500</b> |

**Ongoing Optional Changes**

|   |   |             |                   |          |          |                   |
|---|---|-------------|-------------------|----------|----------|-------------------|
| A-C 10 Core Technology Services Base Requests | 1 | 0.00        | 6,439,300         | 0        | 0        | 6,439,300         |
| <b>Total Ongoing Optional Changes</b>         |   | <b>0.00</b> | <b>6,439,300</b>  | <b>0</b> | <b>0</b> | <b>6,439,300</b>  |
| <b>Total Optional Budget Changes</b>          |   | <b>0.00</b> | <b>11,265,800</b> | <b>0</b> | <b>0</b> | <b>11,265,800</b> |